

# The roles of vegetation in mediating changes in precipitation and runoff in the tropics

**Gabriel Kooperman**

**University of Georgia**

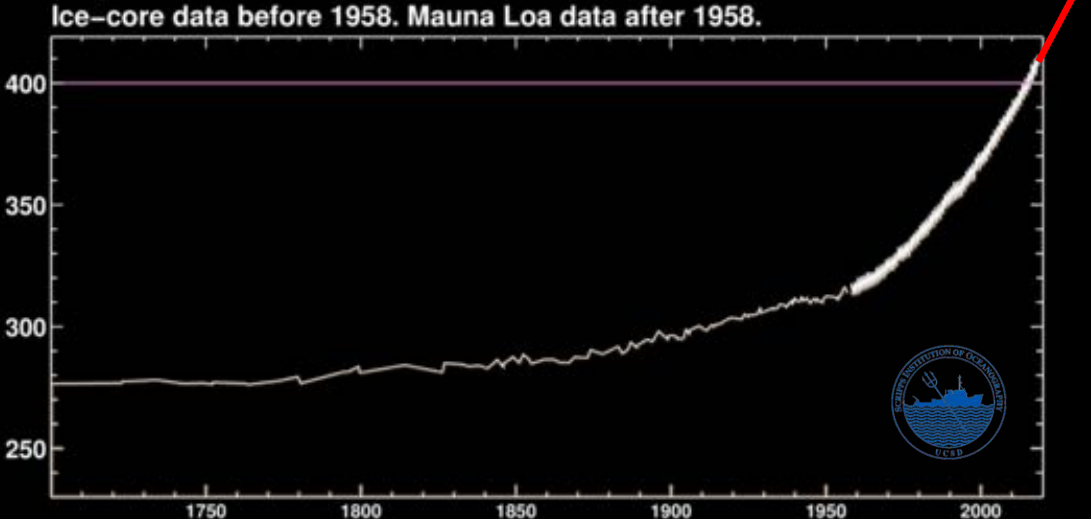
**Forrest Hoffman (ORNL), Charles Koven (LBNL), Keith Lindsay (NCAR),  
Yang Chen (UCI), Megan Fowler (UCI), Baird Langenbrunner (UCI),  
Michael Pritchard (UCI), James Randerson (UCI), Abigail Swann (UW)**

**September 20, 2018**



# How do plant physiological responses to rising CO<sub>2</sub> contribute to long-term changes in the hydrological cycle?

## CO<sub>2</sub> Concentration (ppm)



**Precipitation**



**Drought**



**Flooding**



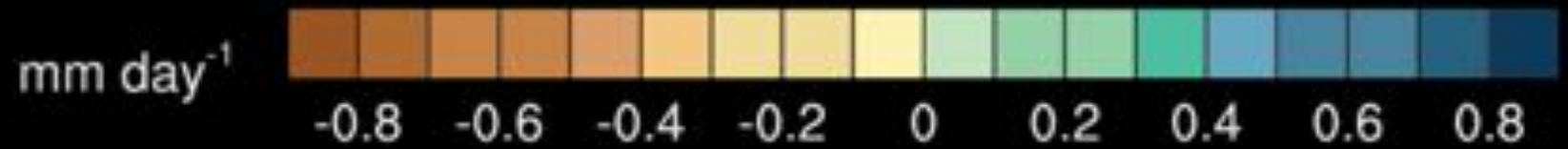
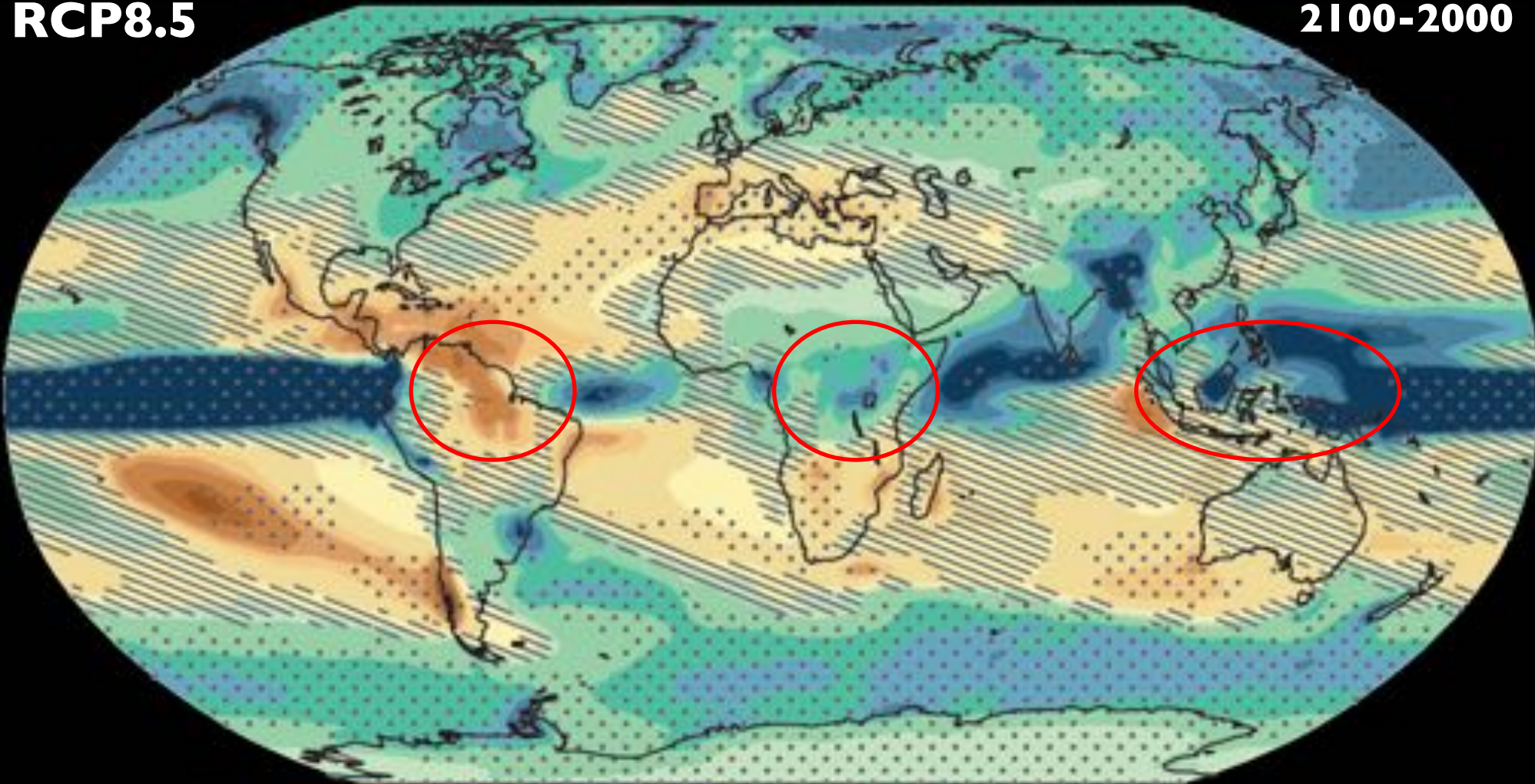
**Heat/Fire**

# Tropical forests are critical for carbon cycling and biodiversity, but future rainfall changes over forests have seemed uncertain

## Annual Mean Precipitation Change

RCP8.5

2100-2000



IPCC  
AR5

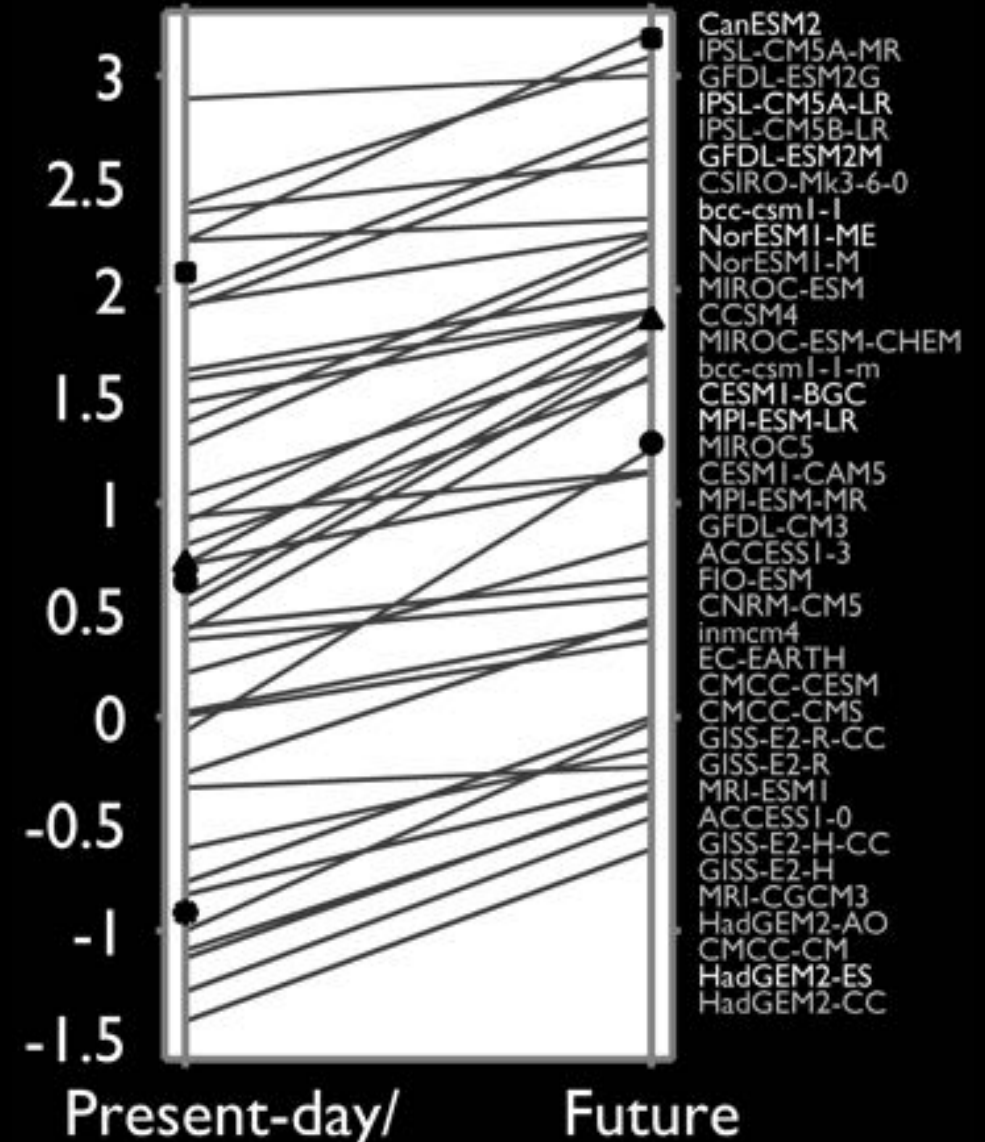
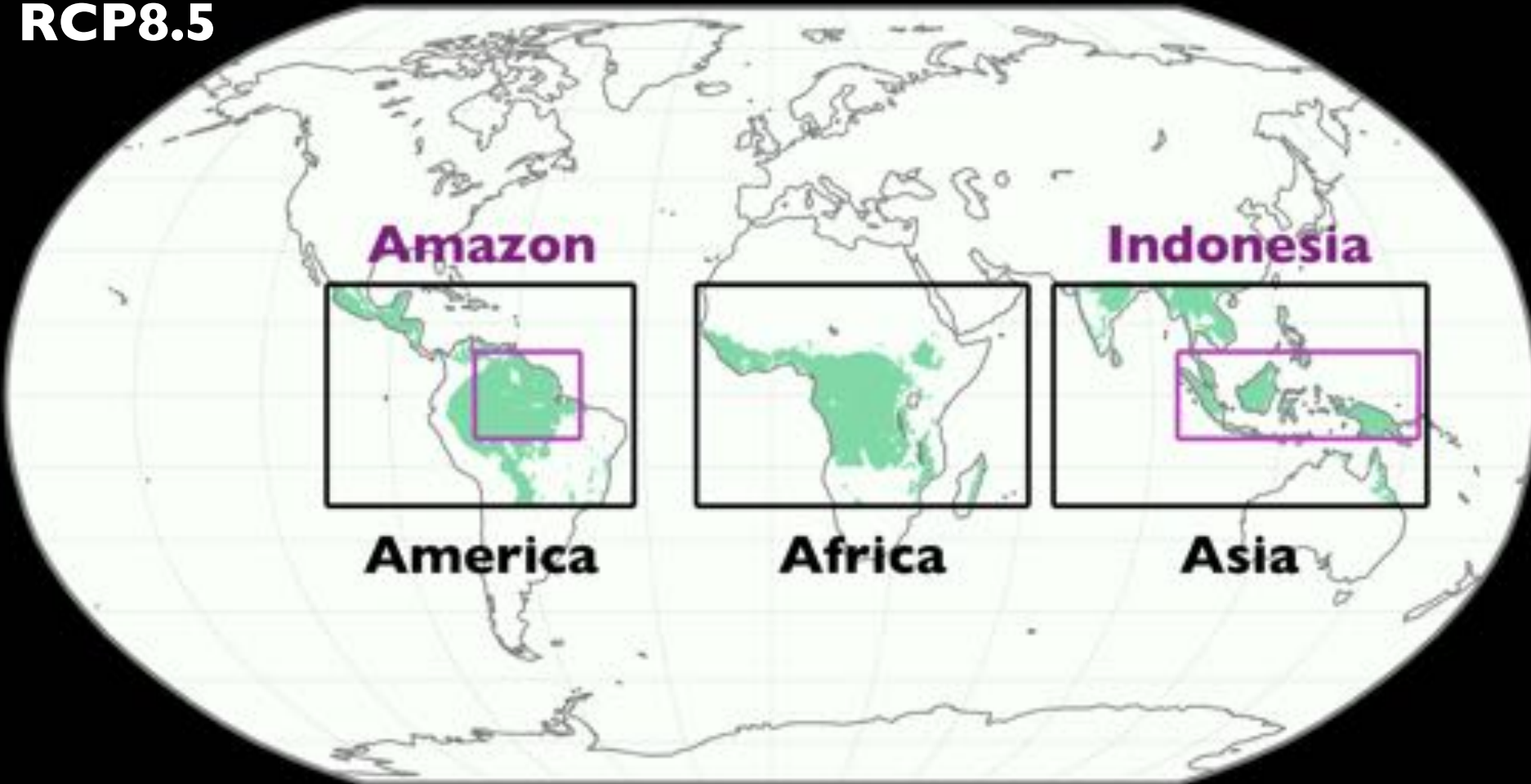


Despite this uncertainty, all modern climate models project a growing zonally asymmetric rainfall pattern across the tropics

Tropical Forest Regions

Precipitation Asymmetry

RCP8.5



Tropical Precipitation Asymmetry Index

$$I_{TPA} = (P_{Asia} + P_{Africa})/2 - P_{America}$$

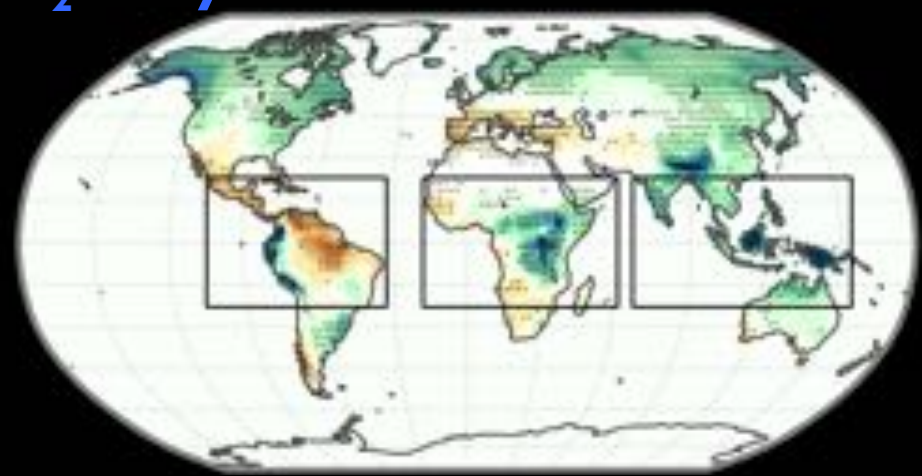
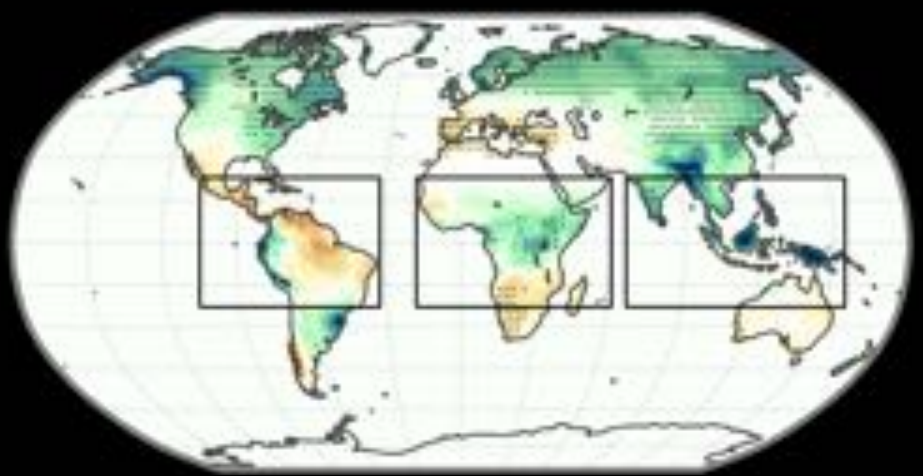


# Zonally asymmetric rainfall pattern is driven by both radiative greenhouse and plant physiological responses to increasing CO<sub>2</sub>

## Annual Mean Precipitation Change

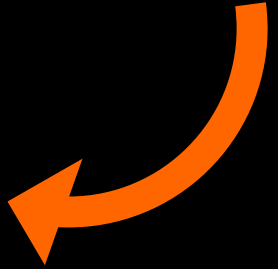
RCP8.5

CO<sub>2</sub>-Only

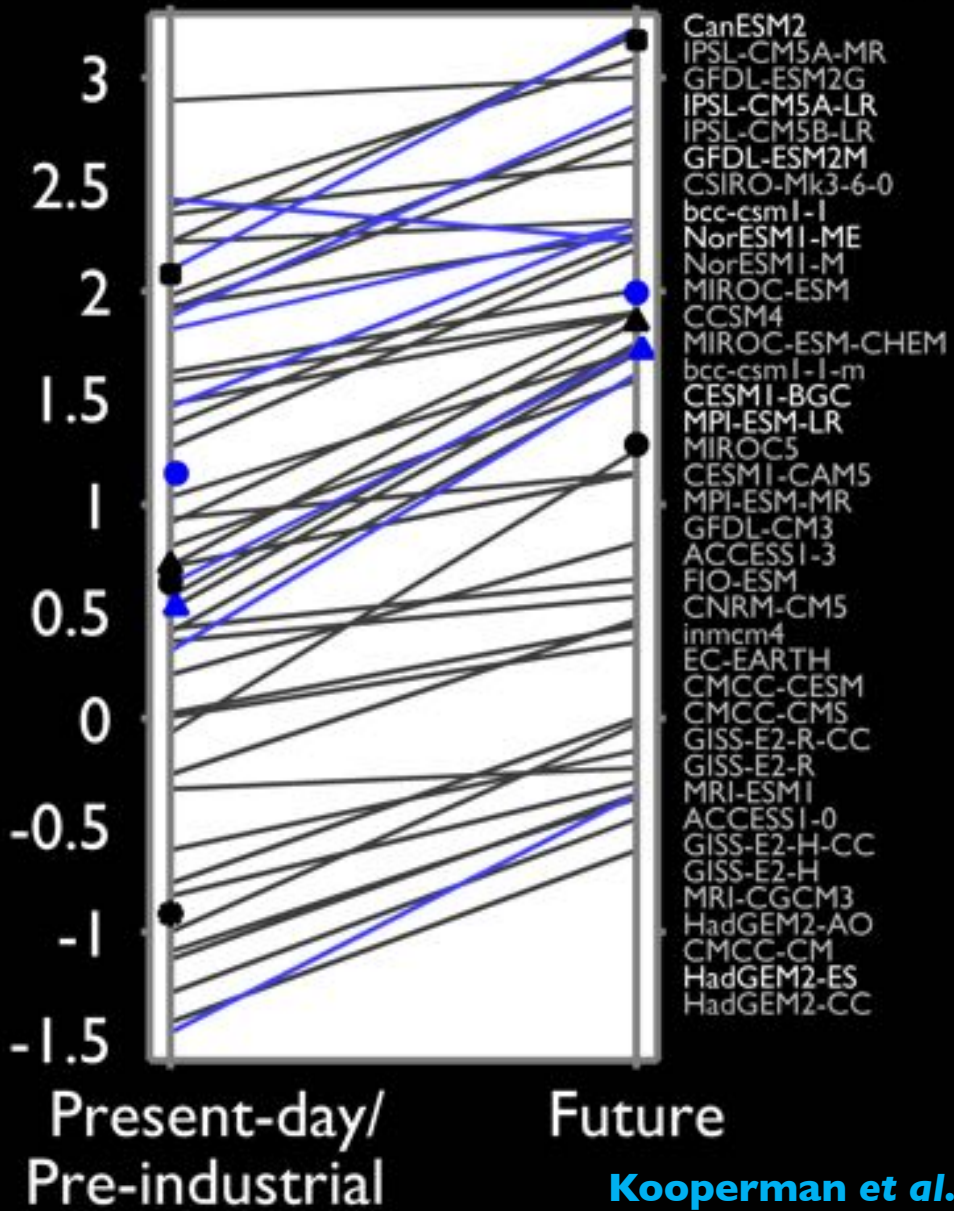


Physiological Effects of CO<sub>2</sub>

Greenhouse Effects of CO<sub>2</sub>

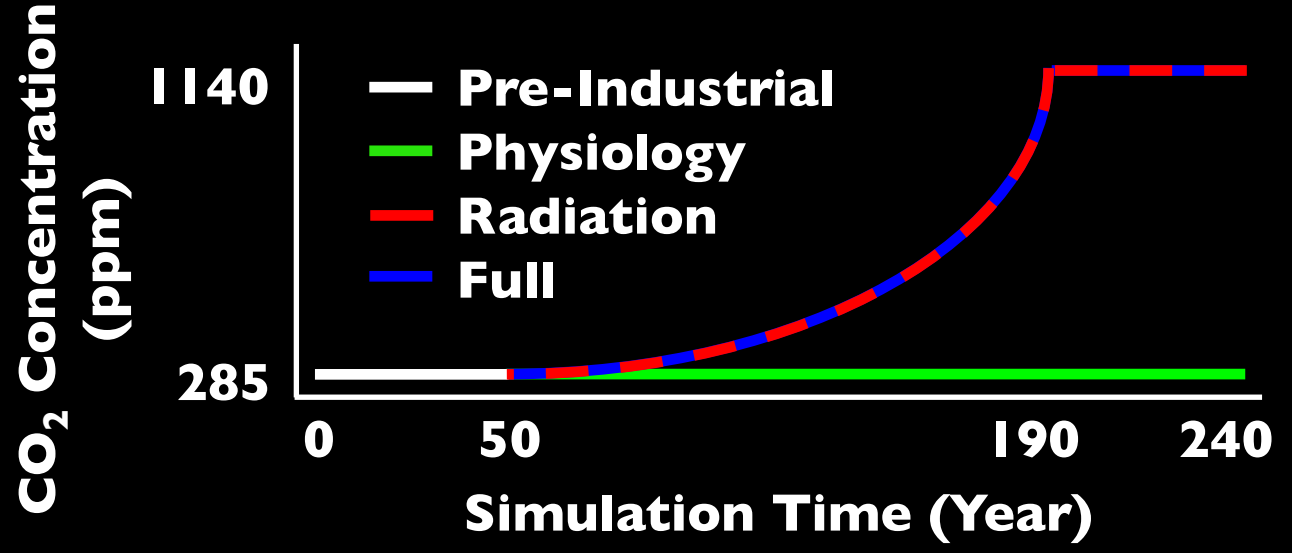


## Precipitation Asymmetry

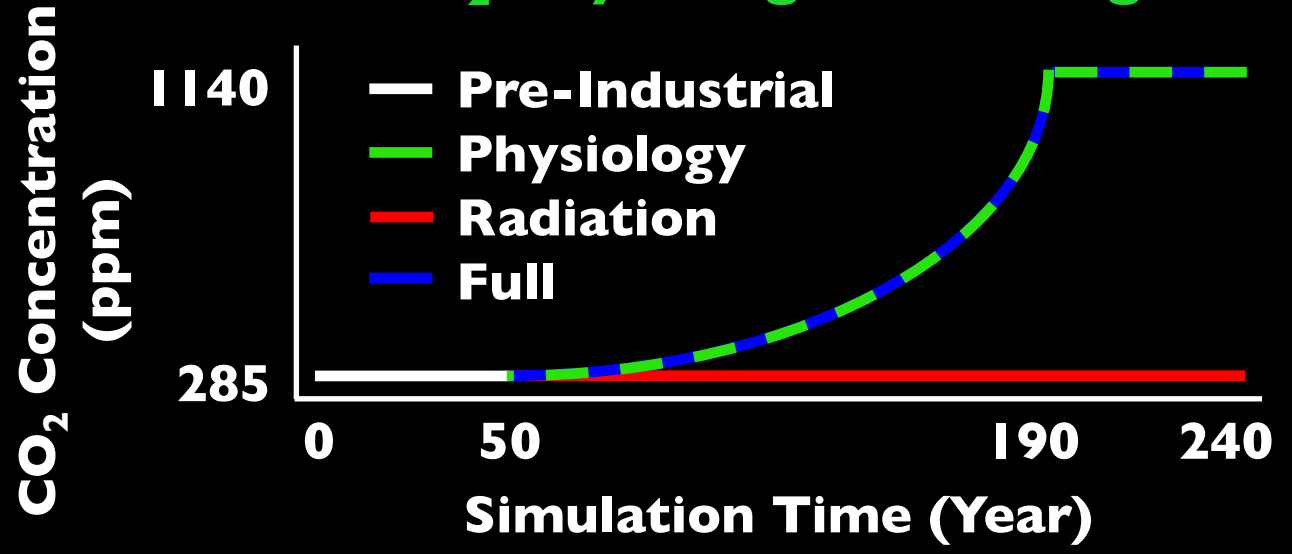


# Idealized CO<sub>2</sub>-only forcing simulations separate the radiative-greenhouse and plant-physiology impacts of rising CO<sub>2</sub>

**Atmosphere CO<sub>2</sub> Radiative Forcing**



**Land CO<sub>2</sub> Physiological Forcing**



**Community Earth System Model**



**Pre-Industrial**

**4xCO<sub>2</sub>**

**4xCO<sub>2</sub>**

**Pre-Industrial**

**Pre-Industrial**

**4xCO<sub>2</sub>**

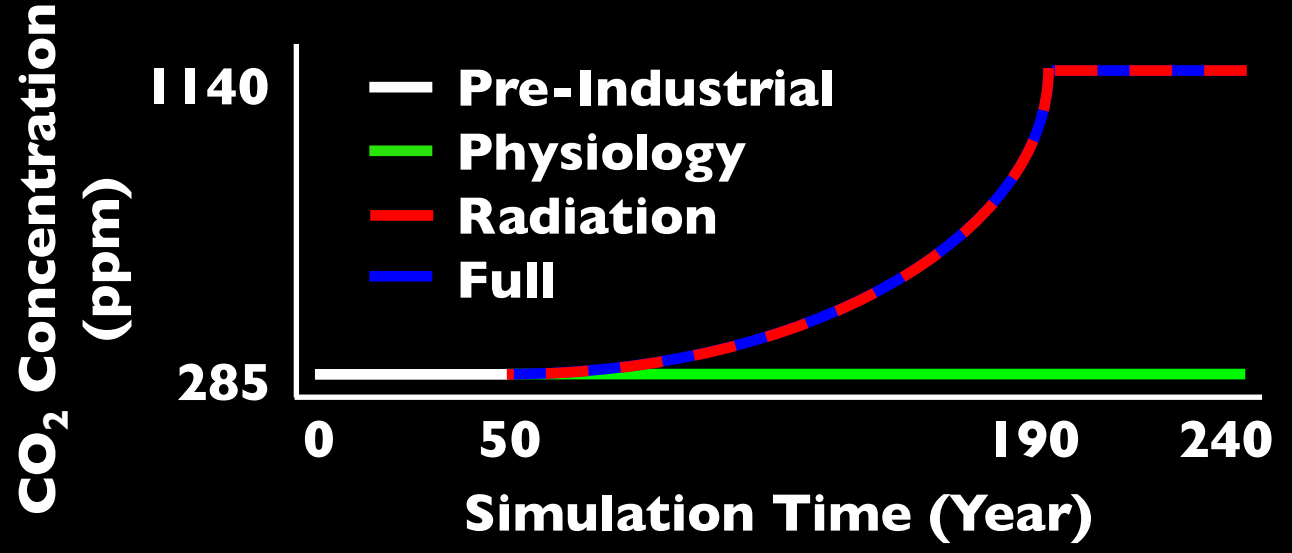
**Pre-Industrial**

**4xCO<sub>2</sub>**



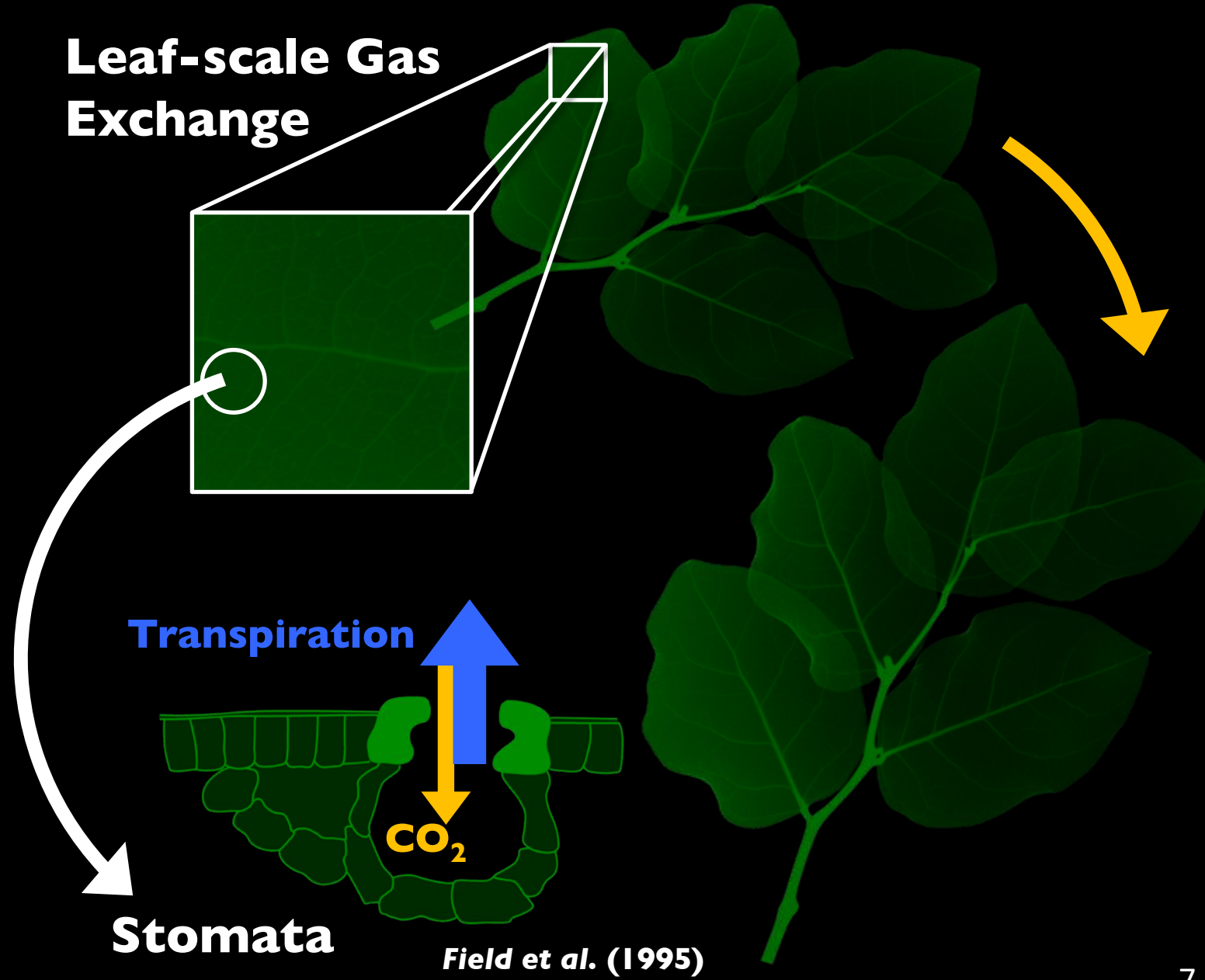
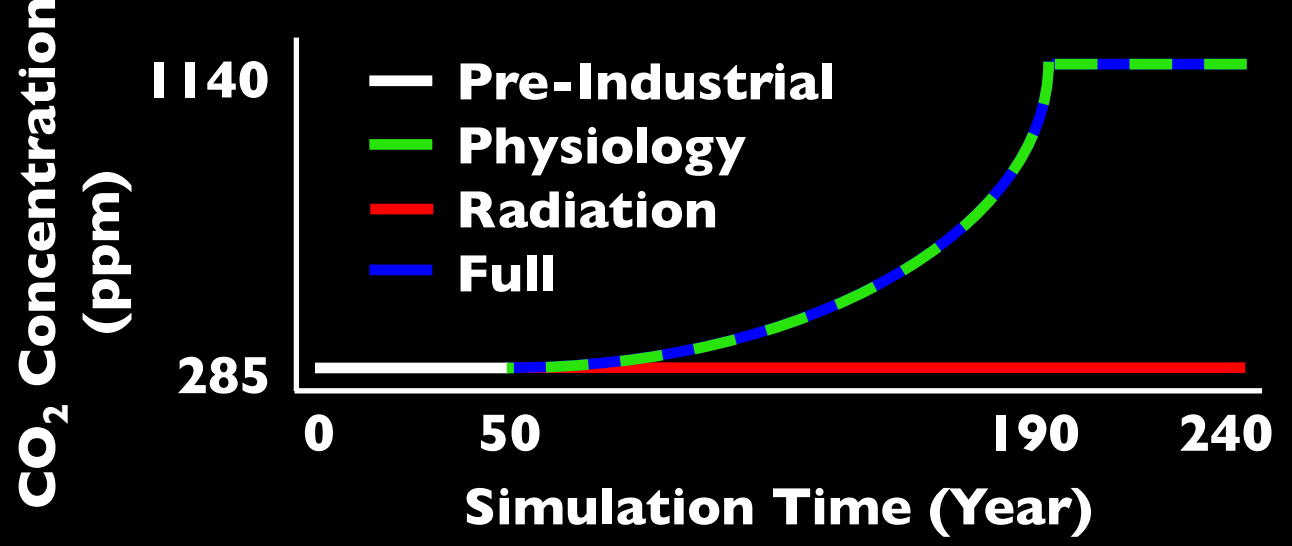
# Idealized CO<sub>2</sub>-only forcing simulations separate the radiative-greenhouse and plant-physiology impacts of rising CO<sub>2</sub>

### Atmosphere CO<sub>2</sub> Radiative Forcing



### Physiology: Stomatal Conductance and Leaf Area

### Land CO<sub>2</sub> Physiological Forcing



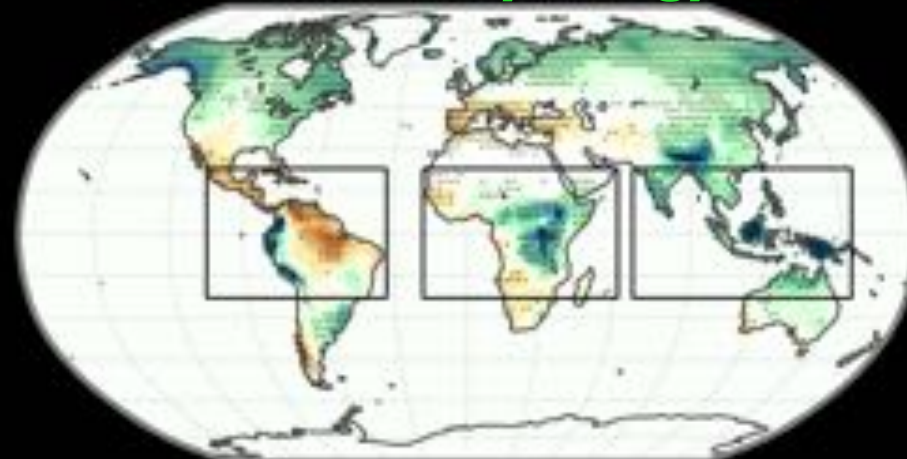
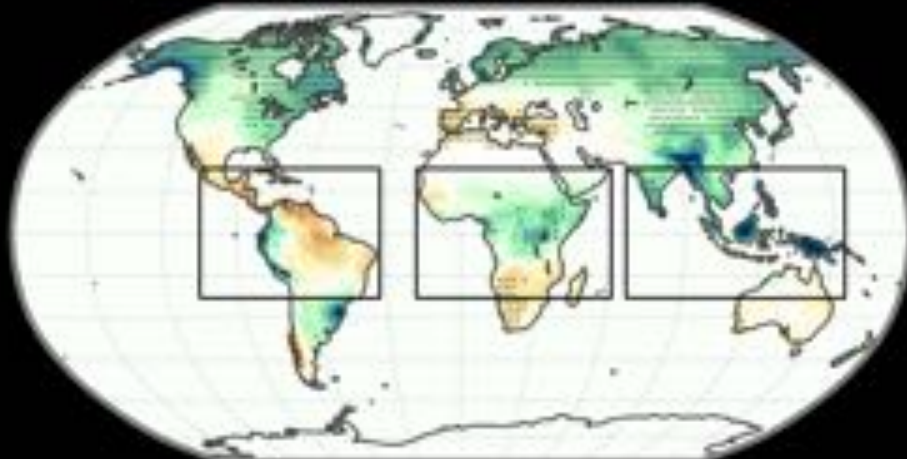
# Zonally asymmetric rainfall pattern is driven by both radiative greenhouse and plant physiological responses to increasing CO<sub>2</sub>

## Annual Mean Precipitation Change

## Precipitation Asymmetry

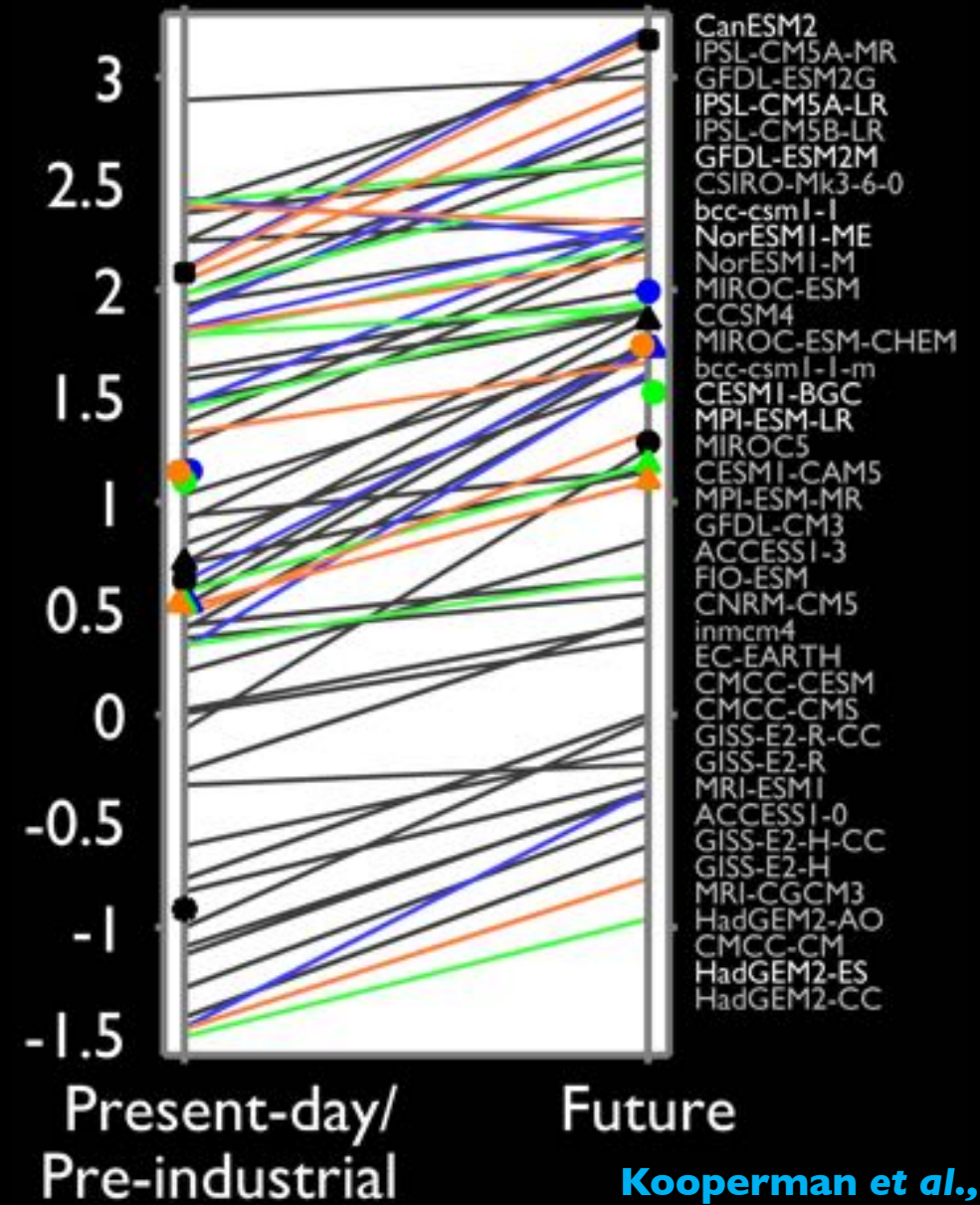
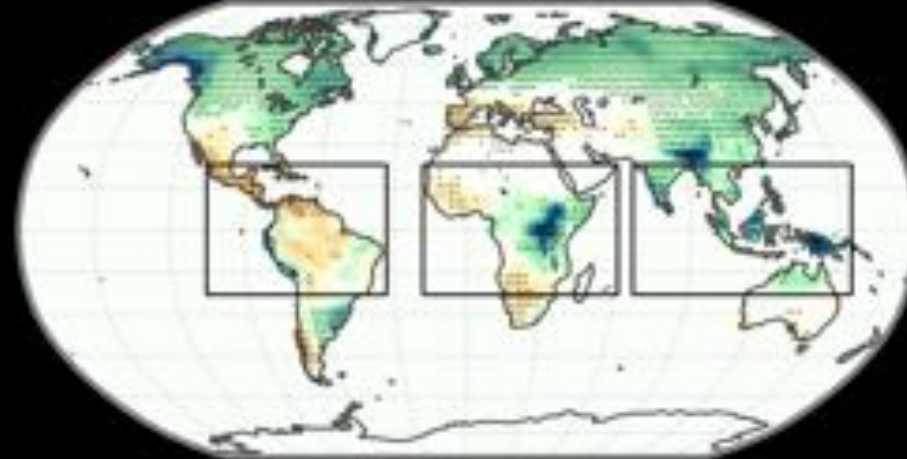
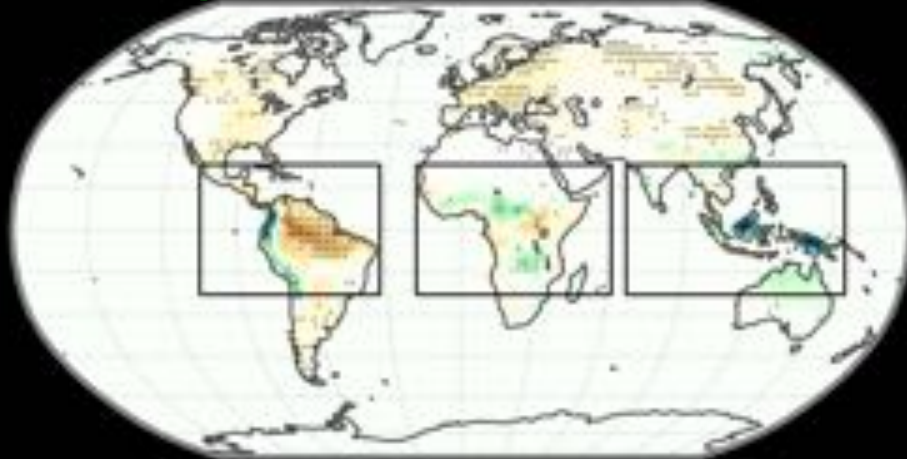
RCP8.5

Full: Radiation + Physiology



Physiology

Radiation



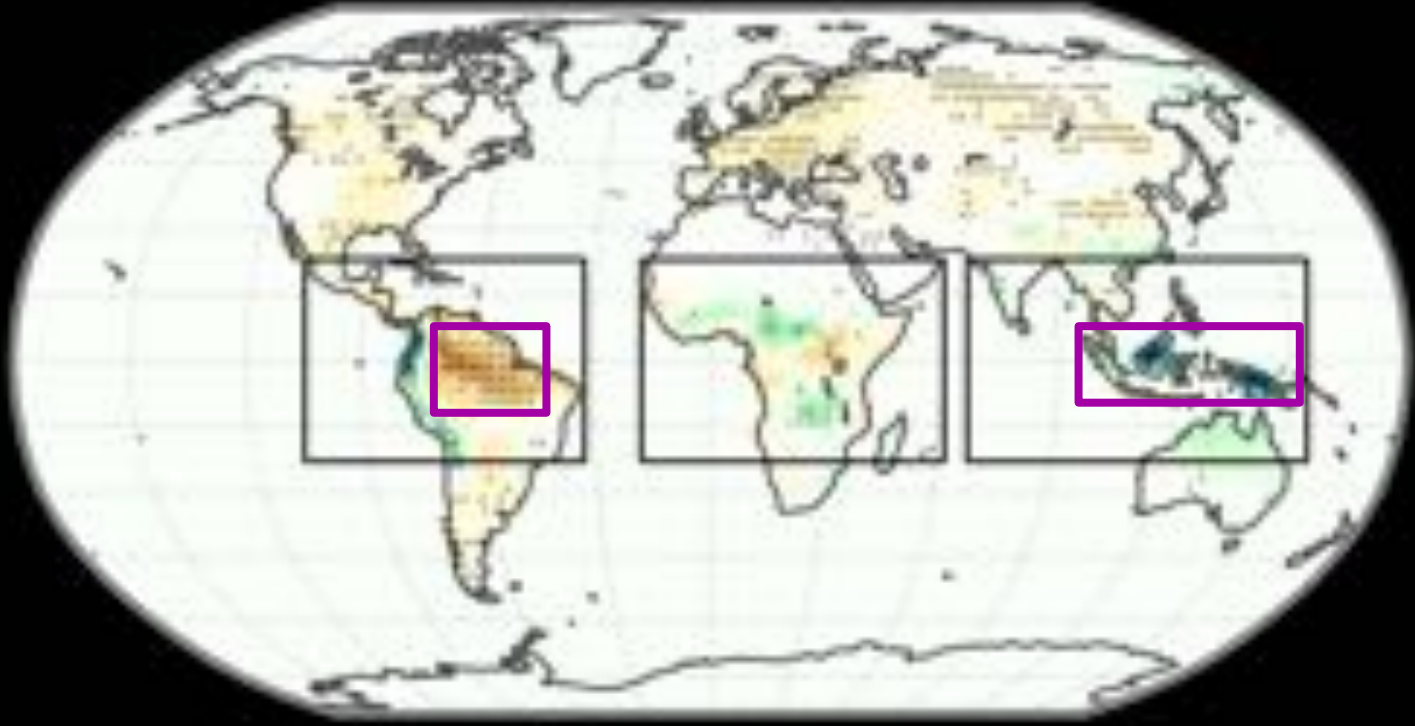
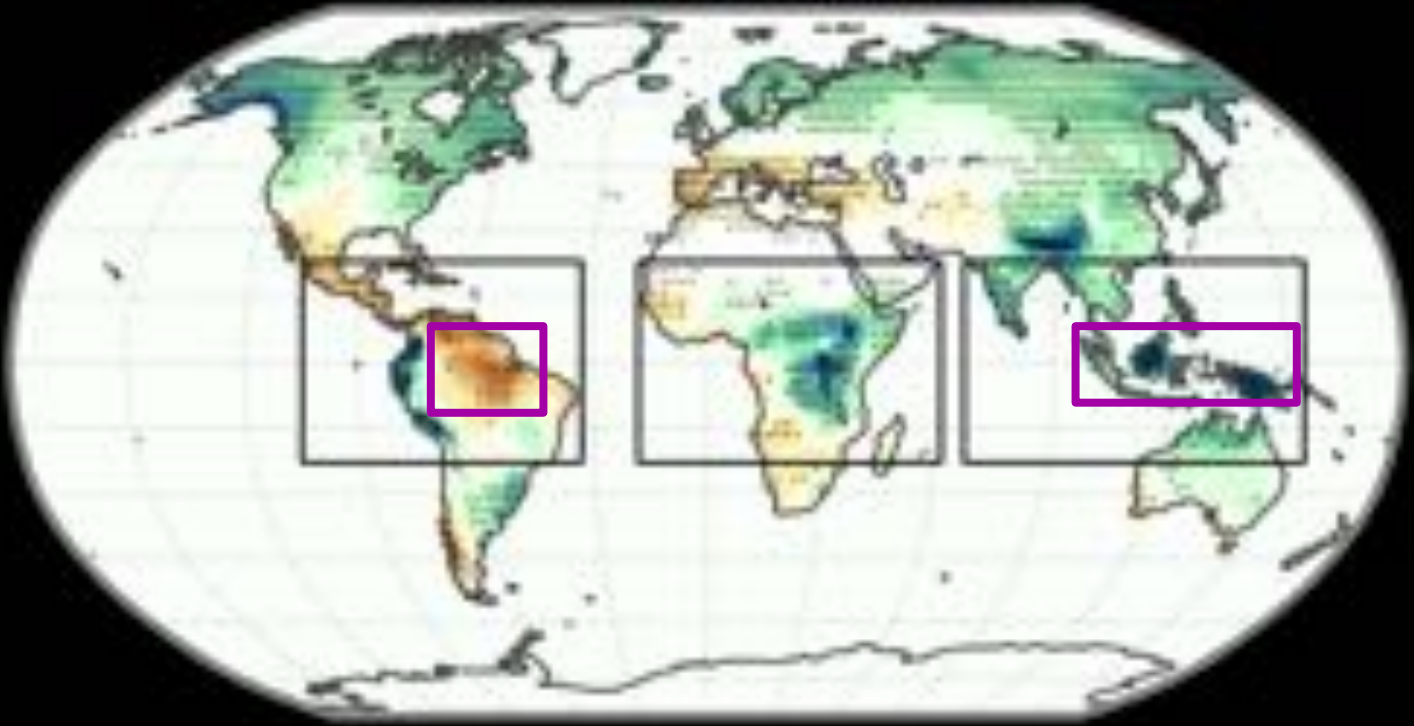


# Plant physiological responses alone are a dominant component of the overall precipitation change over dense tropical forests

## Annual Mean Precipitation Change

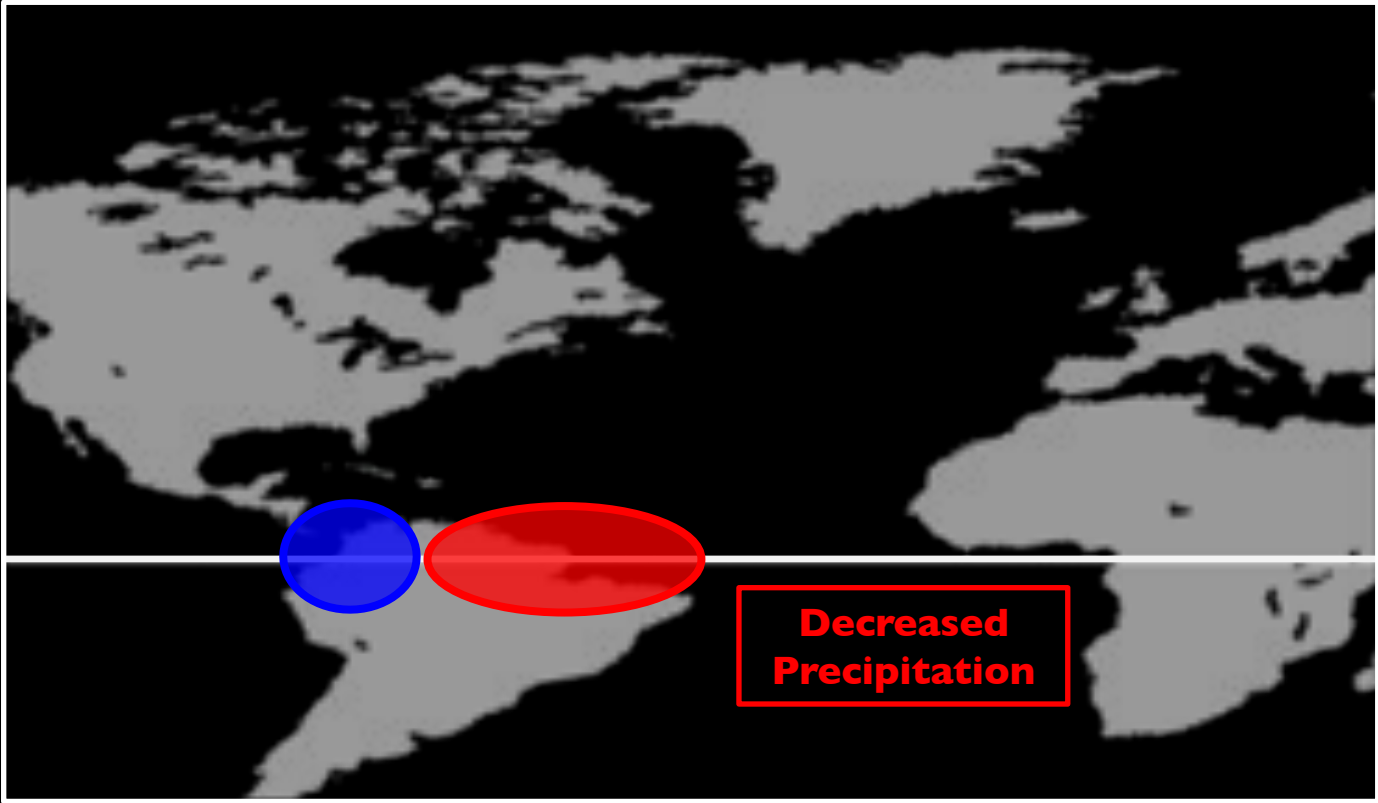
Full: Radiation + Physiology

Physiology

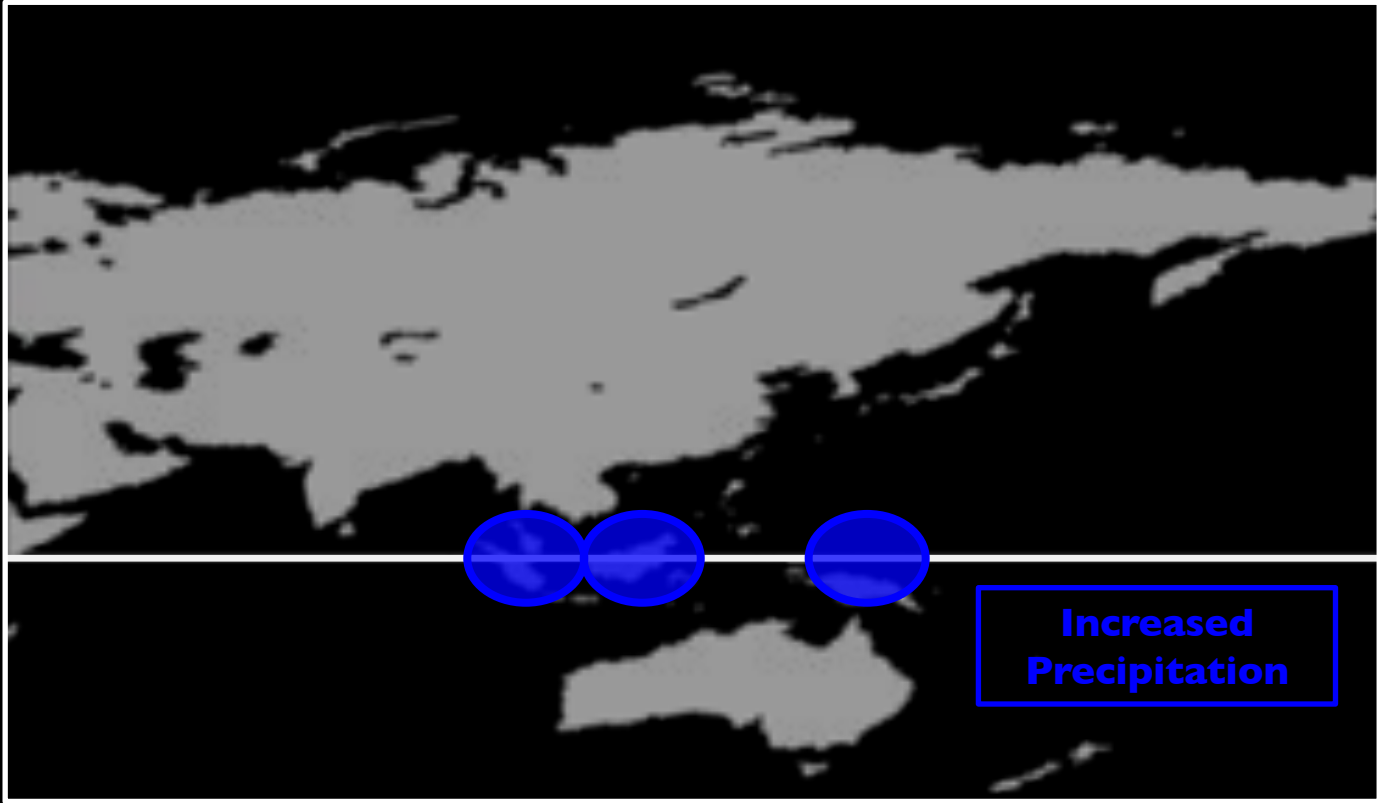


# How do plant physiological responses to rising CO<sub>2</sub> contribute to this zonally asymmetric pattern of rainfall change?

## Amazon



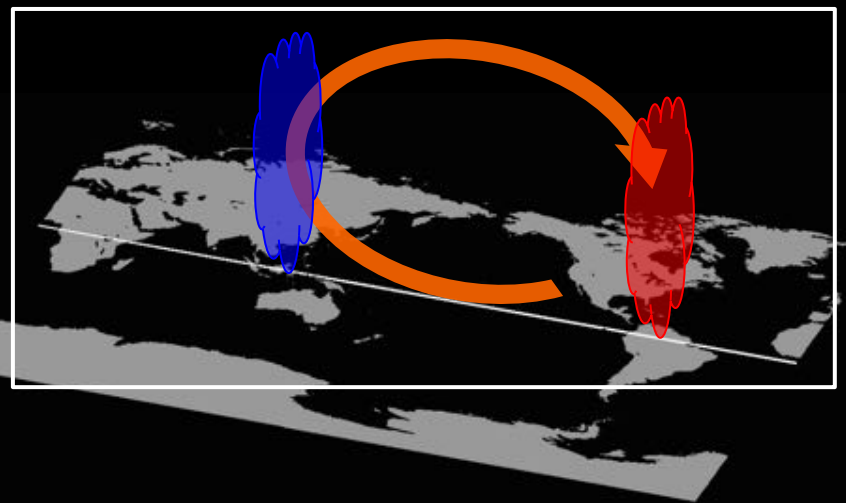
## Indonesia



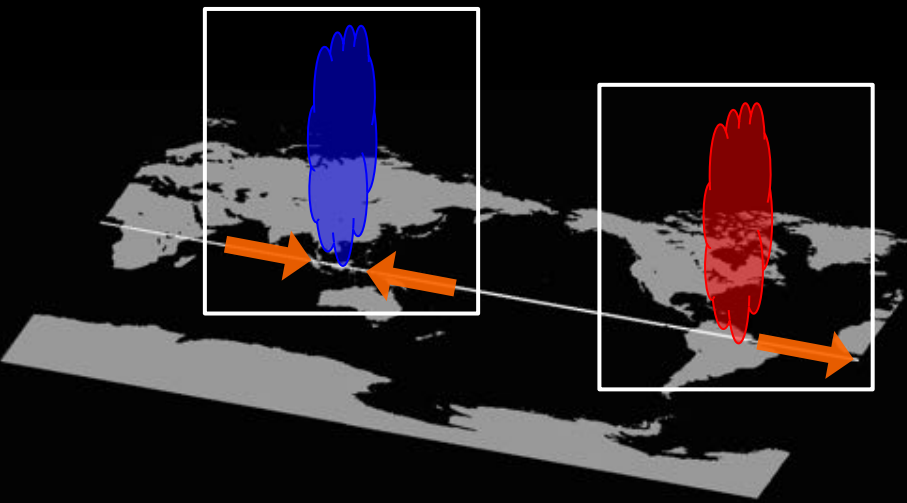
Precipitation ↑  
Precipitation ↓

# A new experiment with CESM tests if the pattern is driven by tropics-wide (e.g. Walker Circulation) or regional mechanisms

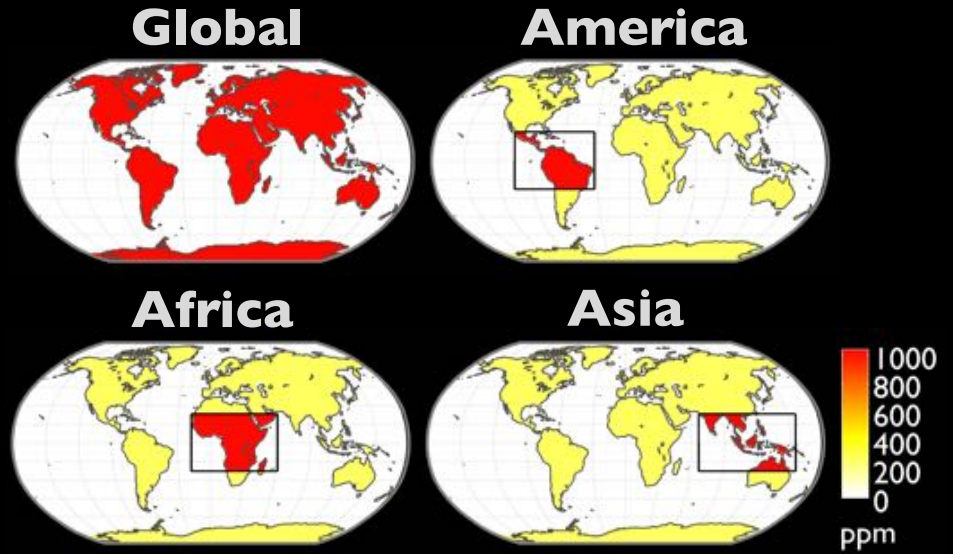
### Tropics Wide Mechanism



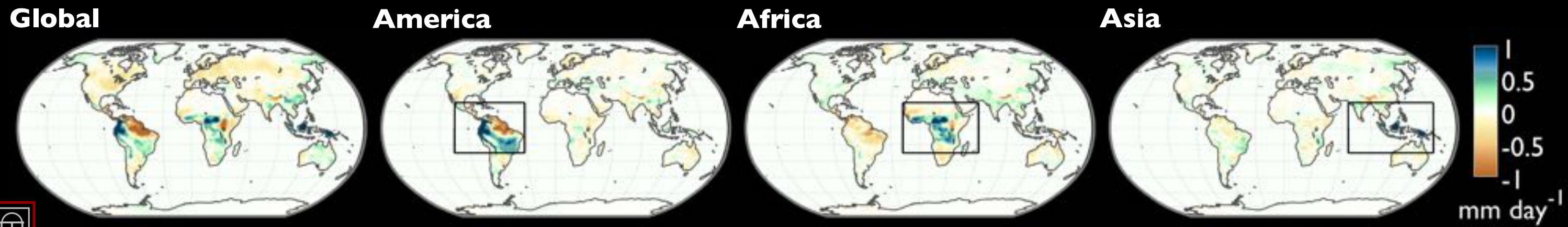
### Regional Mechanisms



### CO<sub>2</sub> Concentration

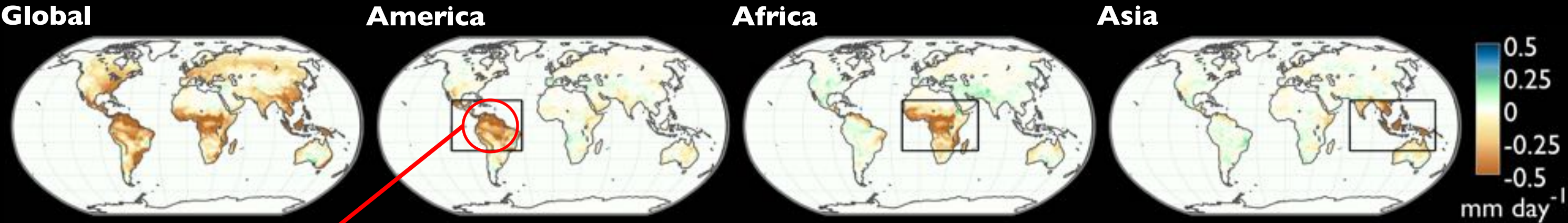


### Annual Mean Precipitation Change

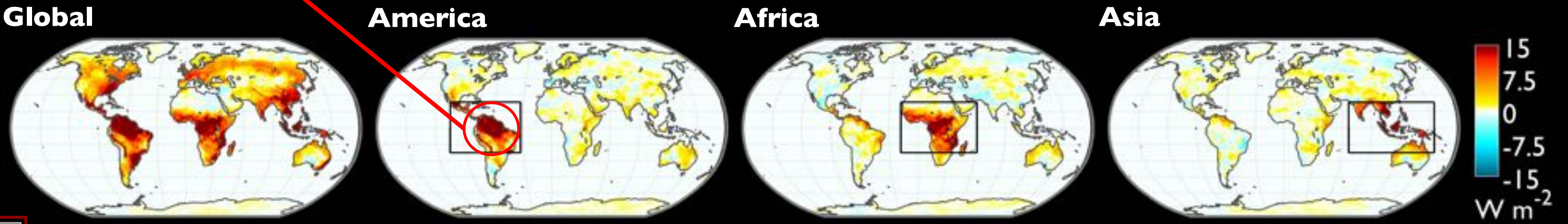


# Higher CO<sub>2</sub> reduces stomatal conductance and transpiration, modifying surface heat fluxes and near-surface air conditions

## Annual Mean Evapotranspiration Change

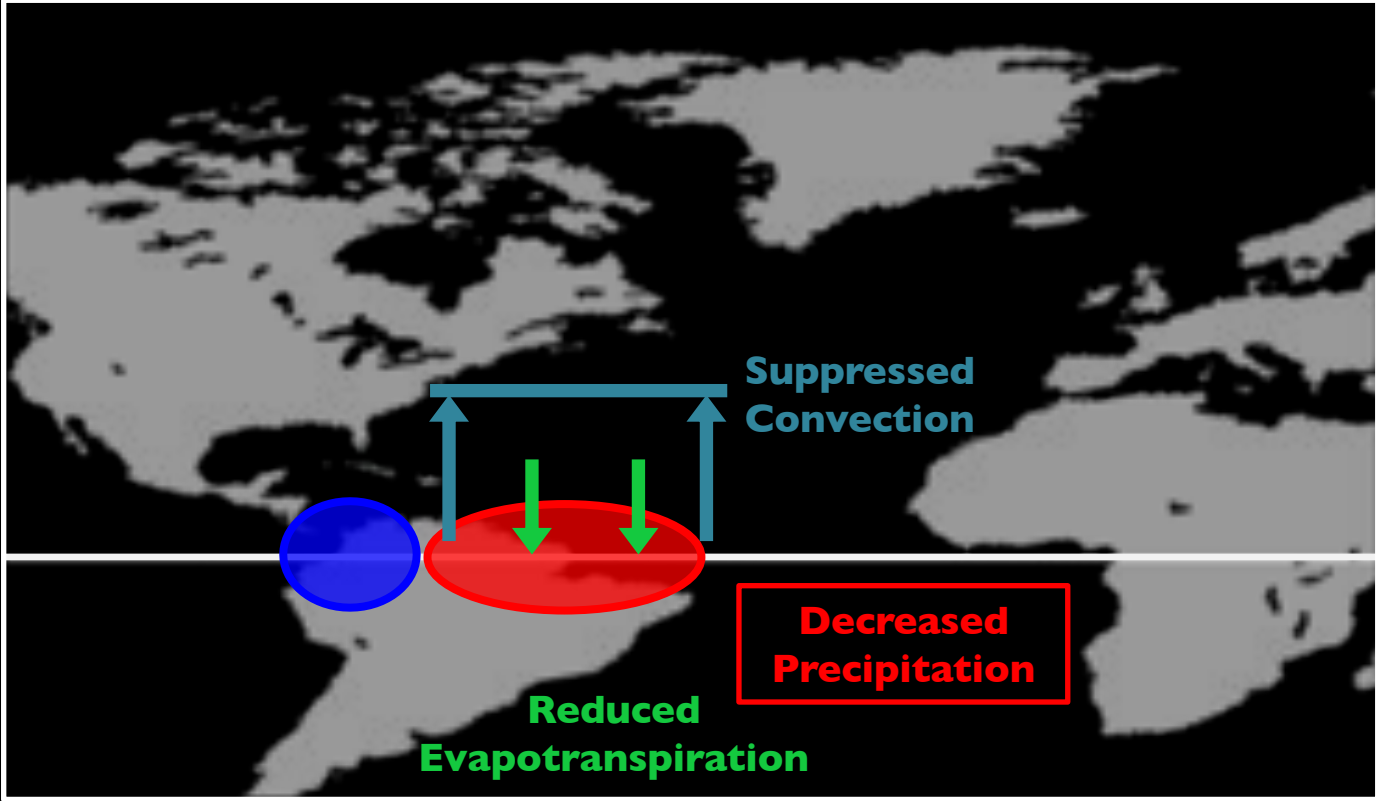


## Annual Mean Sensible Heat Flux Change

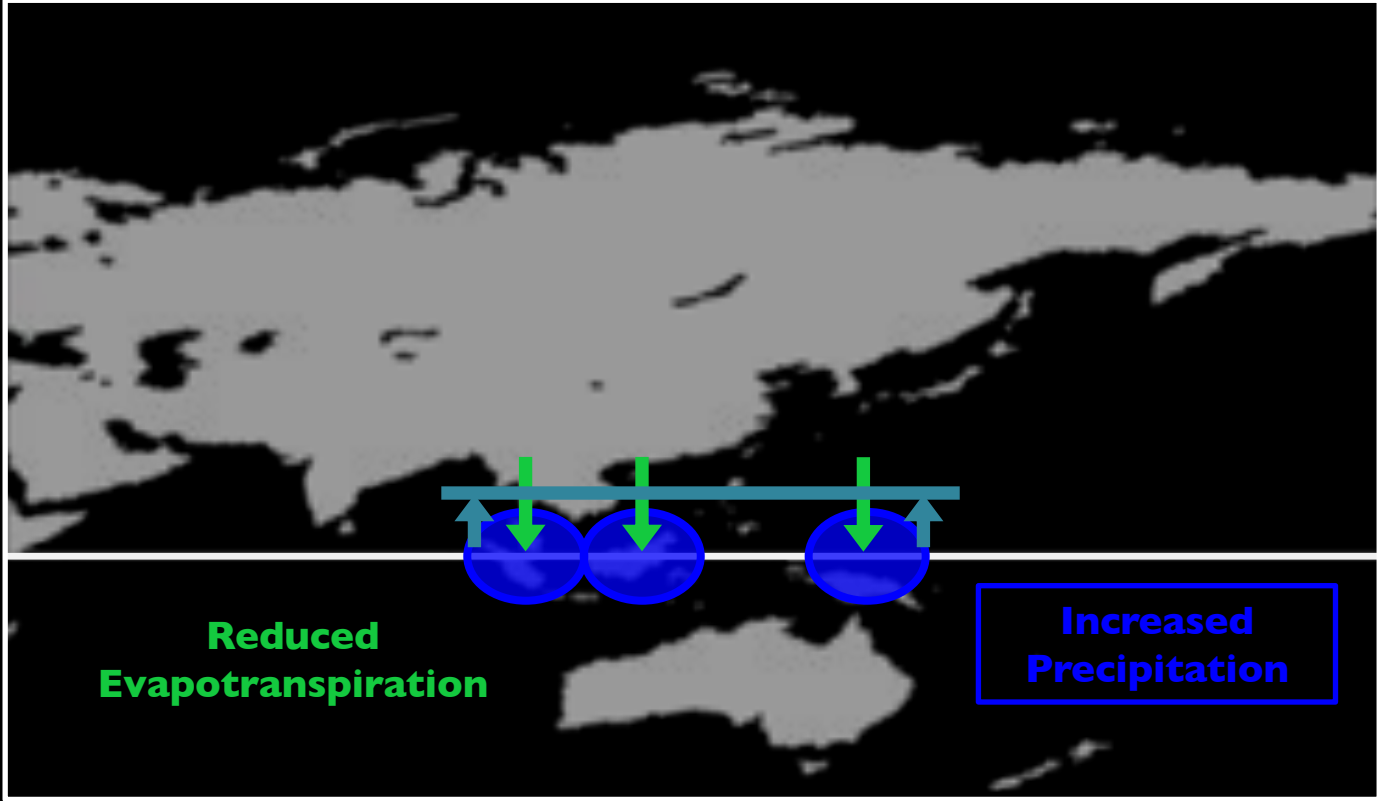


# How do plant physiological responses to rising CO<sub>2</sub> contribute to this zonally asymmetric pattern of rainfall change?

## Amazon



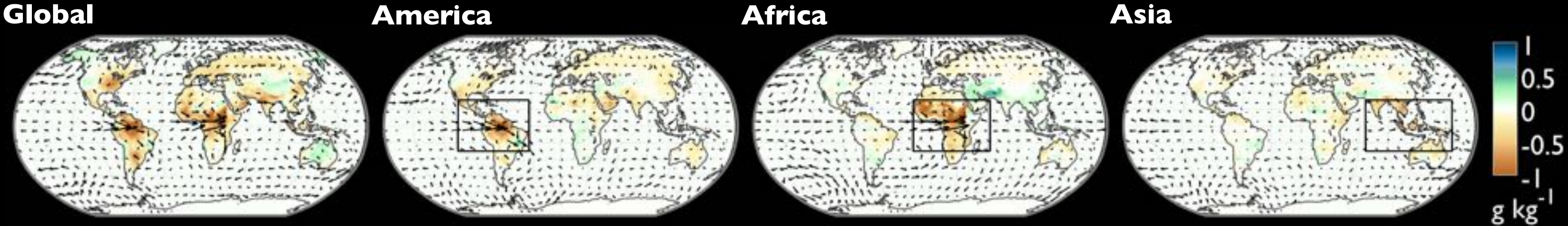
## Indonesia



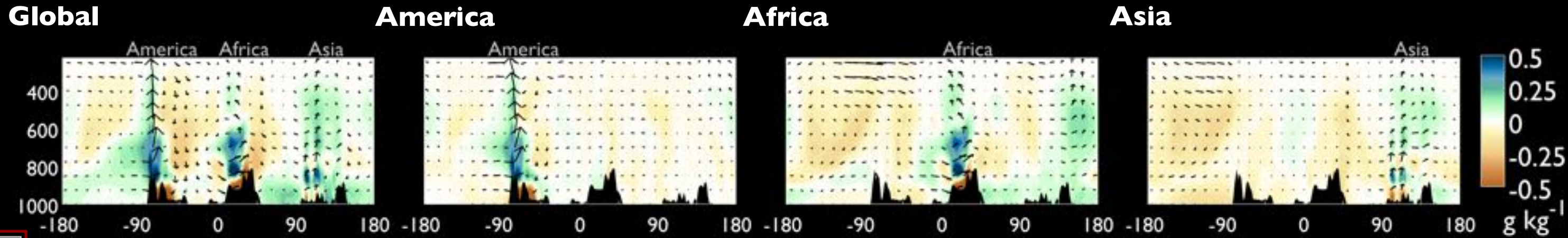
Precipitation ↑    Evapotranspiration ↓  
Precipitation ↓    Condensation Level ↑

# Surface flux changes drive convectively-coupled and island-like circulations over the Amazon and Indonesia, respectively

## Annual Mean Surface Specific Humidity Change



## Annual Mean Tropical (5°S - 5°N) Specific Humidity Change



# Locally and non-locally driven moisture convergences changes lead to less rainfall over the Amazon and more over Indonesia

## $\Delta$ Moisture Budget

**Amazon:**



**Indonesia:**



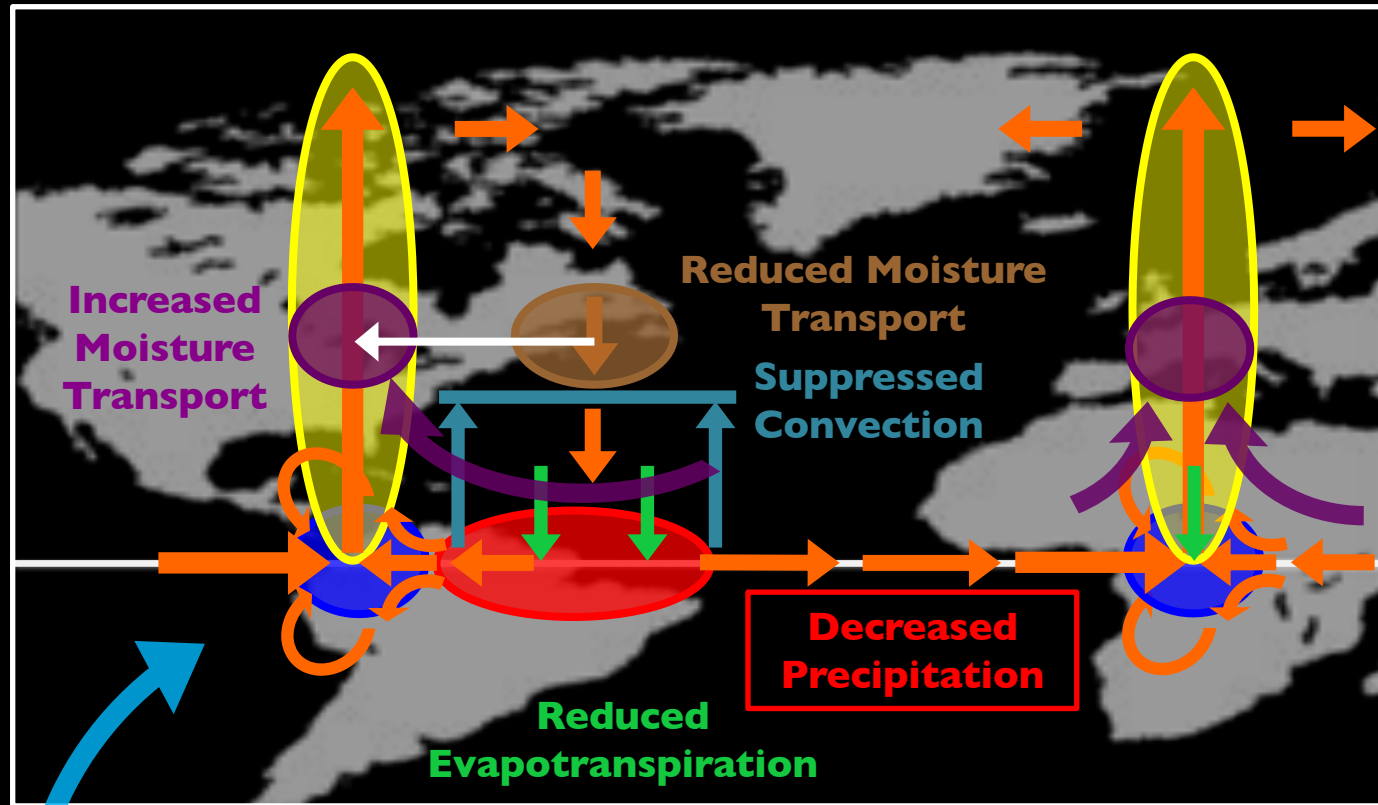
Precipitation      Evaporation      Moisture Convergence

- Precipitation reduction over the Amazon has local and non-local (Africa) influences
- Evapotranspiration reduction from local forcing over the Amazon and Indonesia have similar magnitudes
- Indonesia has a larger rise in moisture convergence than evapotranspiration reduction
- Circulation changes from the Africa forcing lower moisture convergence to the Amazon

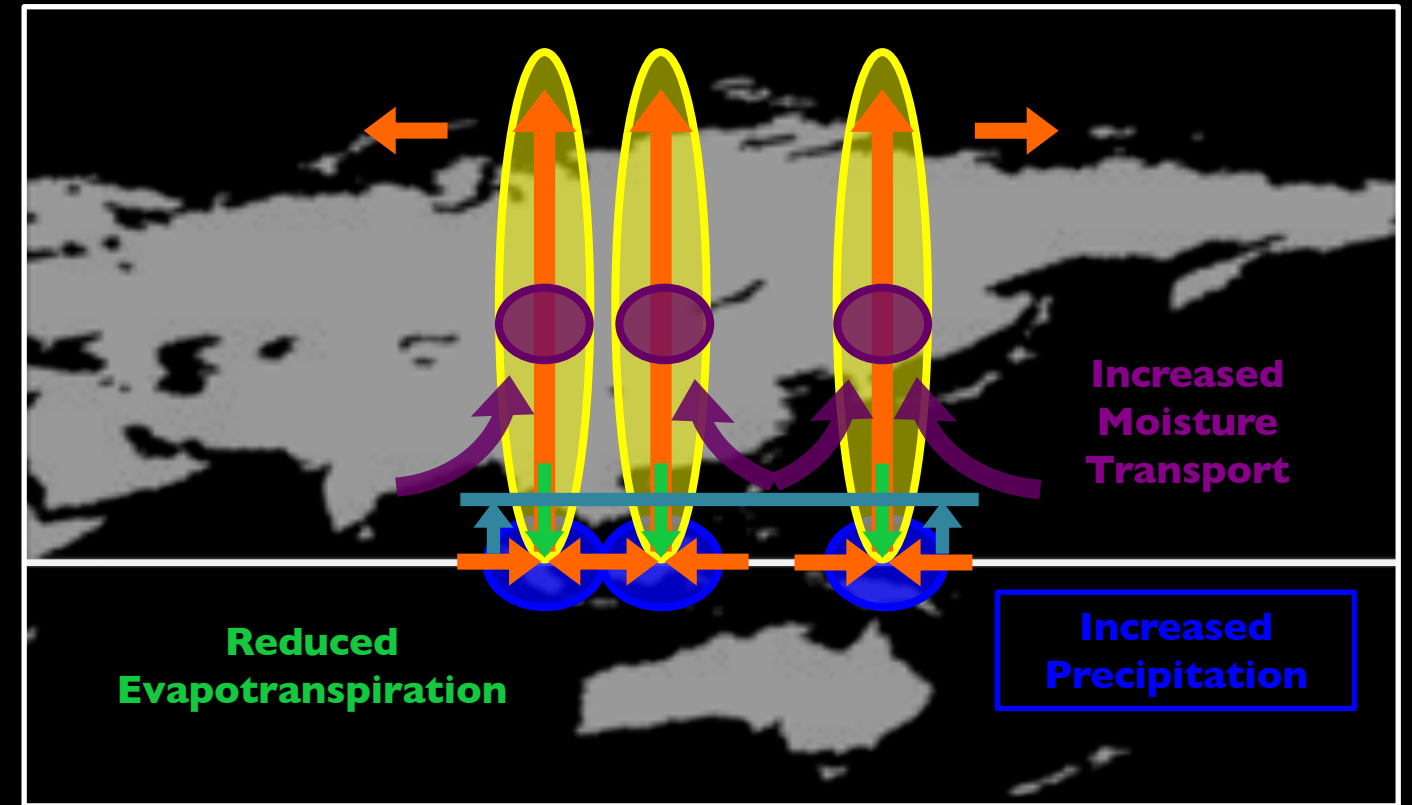


# How do plant physiological responses to rising CO<sub>2</sub> contribute to this zonally asymmetric pattern of rainfall change?

## Amazon



## Indonesia



Precipitation ↑  
Precipitation ↓

Evapotranspiration ↓  
Condensation Level ↑

Convective Heating ↑  
Circulation Anomaly ←

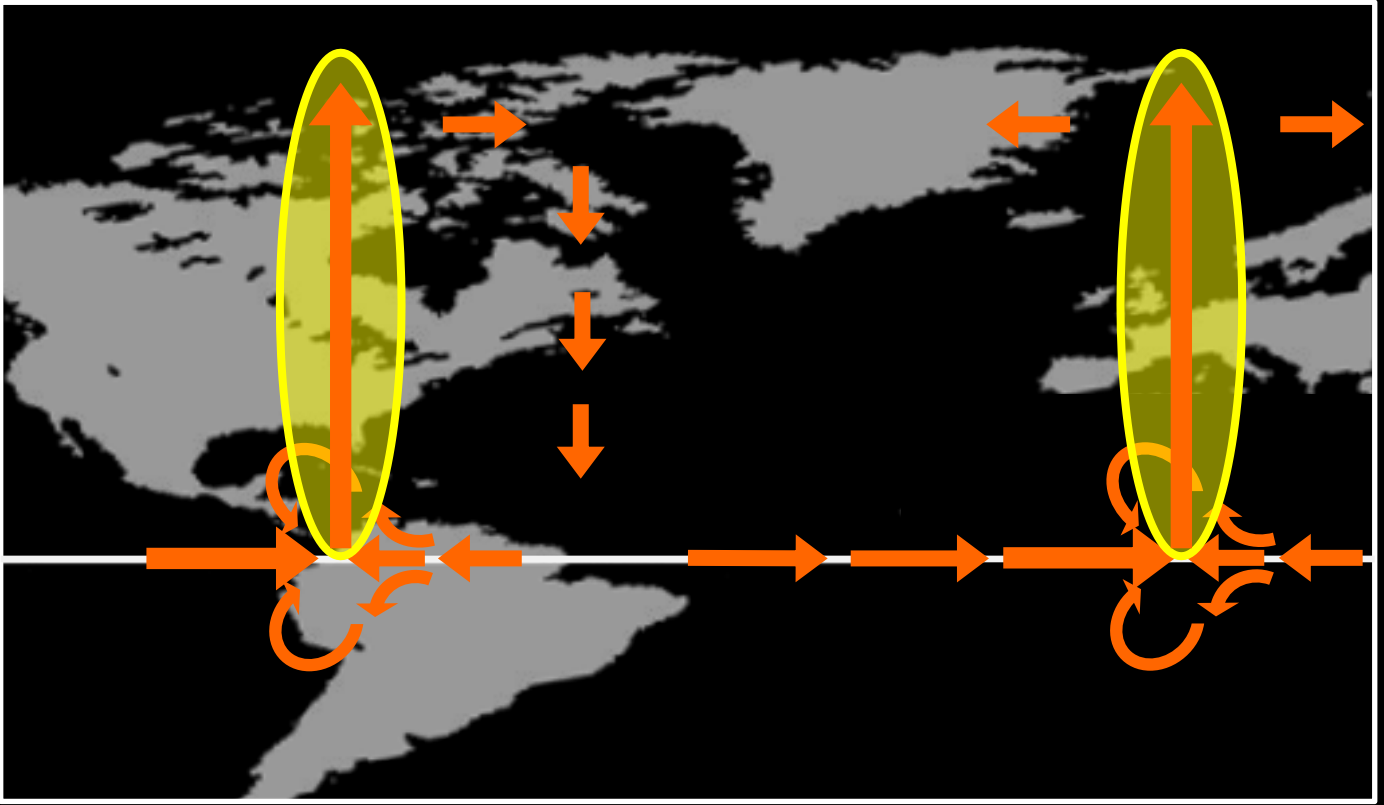
Moisture Convergence ↑  
Moisture Convergence ↓

Export of Moist Static Energy ←

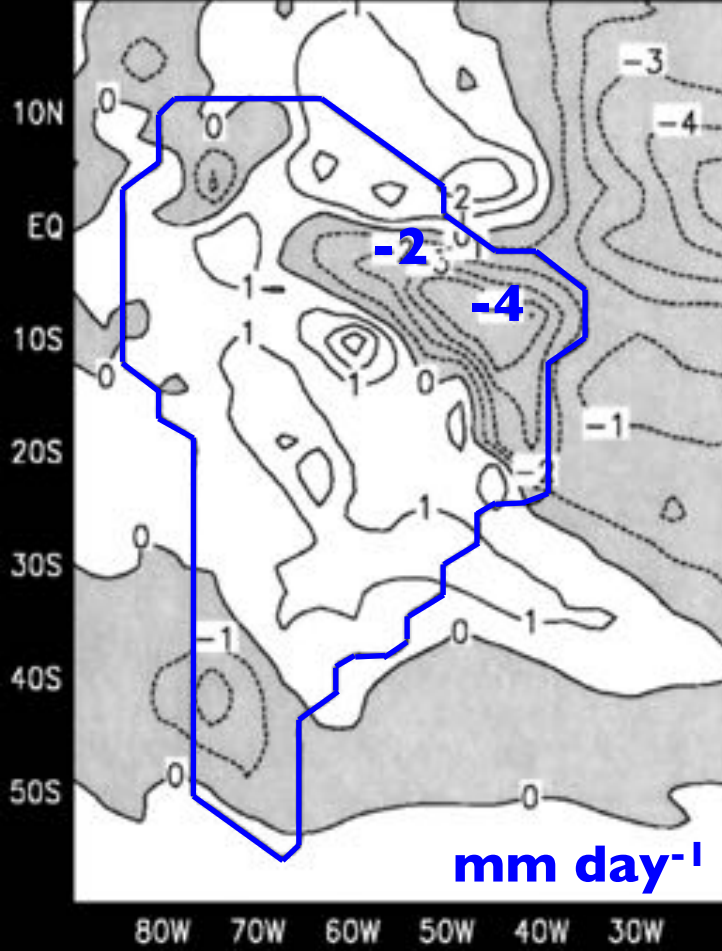


# Simply the presence, proximity (~3000 km), and circulations of Africa reduce moisture flow and rainfall over the Amazon

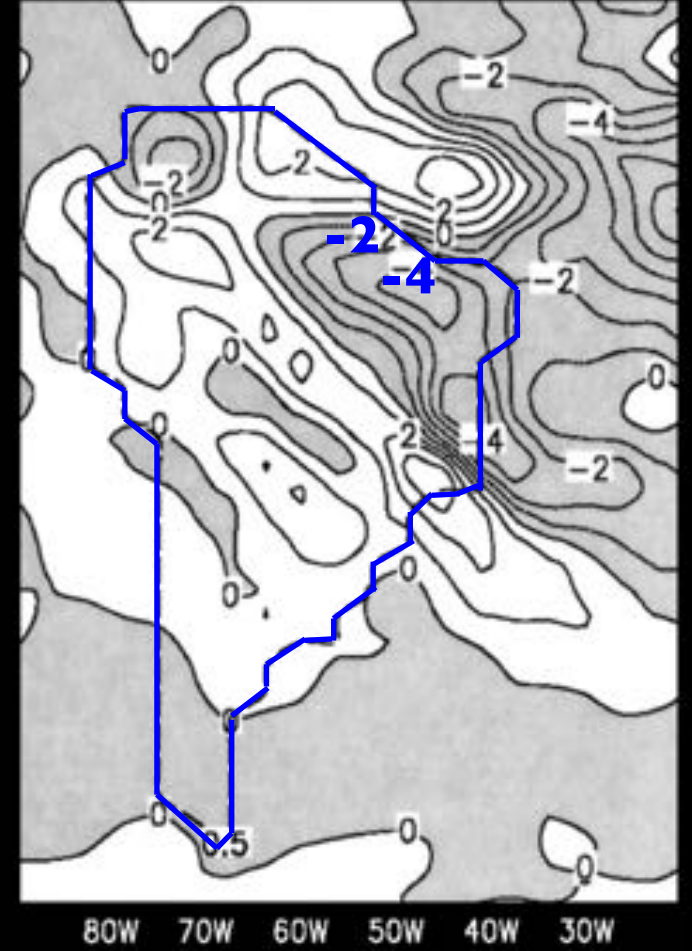
## Amazon



## Precipitation



## Moisture Convergence



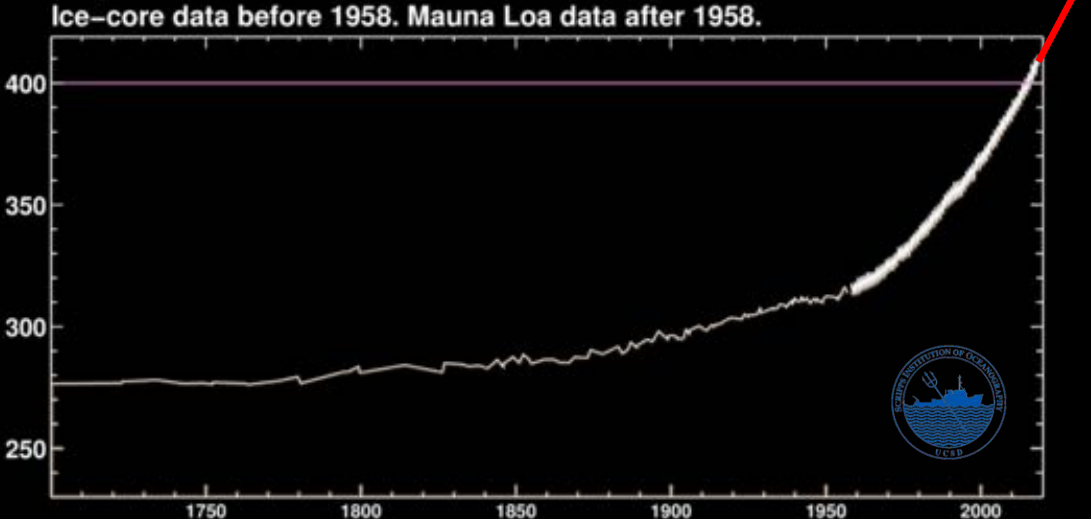
**South American Rainfall  
With Africa – Without Africa**

Cook et al. (2004)



# How do plant physiological responses to rising CO<sub>2</sub> contribute to long-term changes in the hydrological cycle?

## CO<sub>2</sub> Concentration (ppm)



**Precipitation**



**Drought**

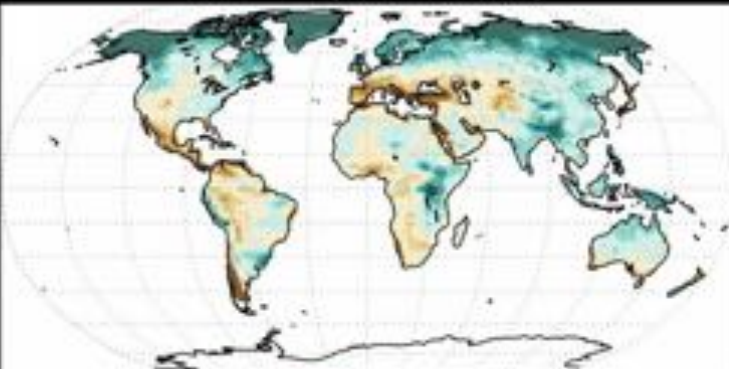
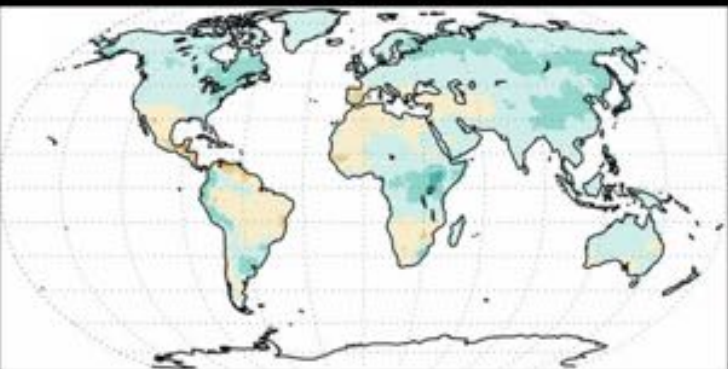
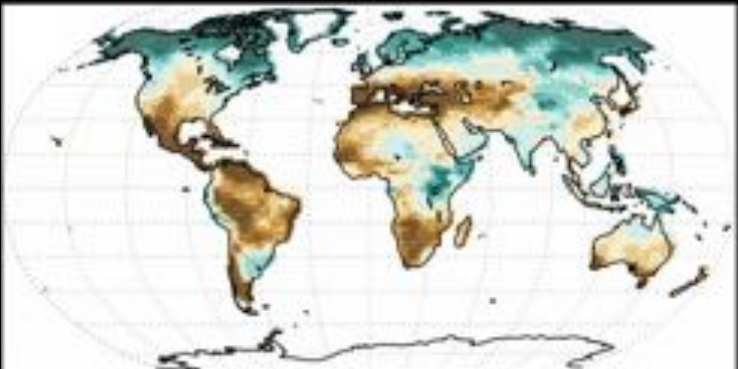
# Drought assessments based on precipitation and temperature neglect the role of vegetation and project widespread increases

Palmer Drought Index

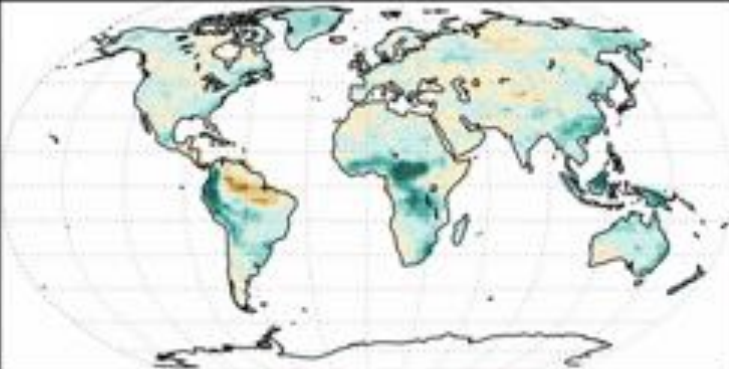
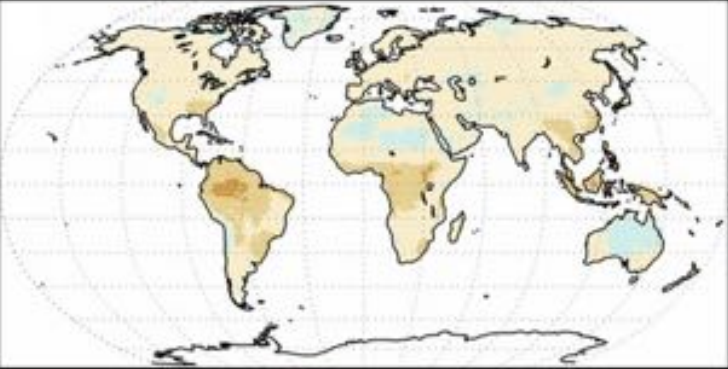
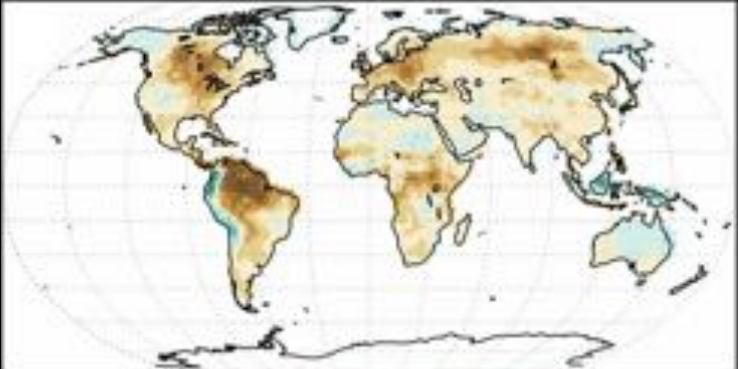
Evapotranspiration

Normalized P - E

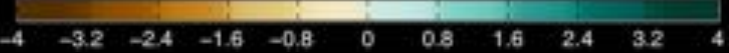
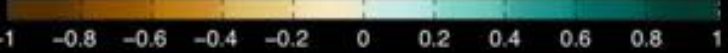
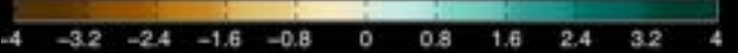
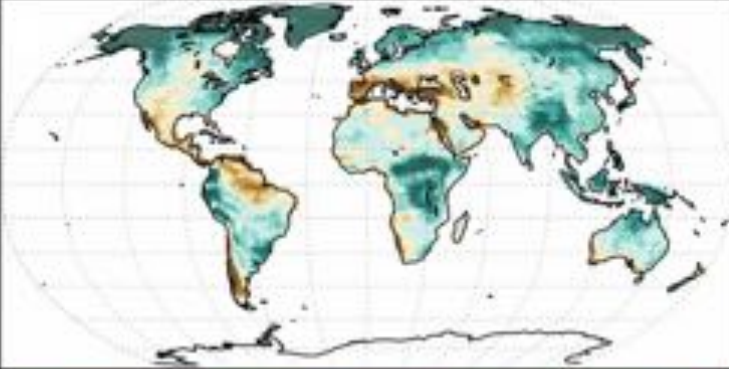
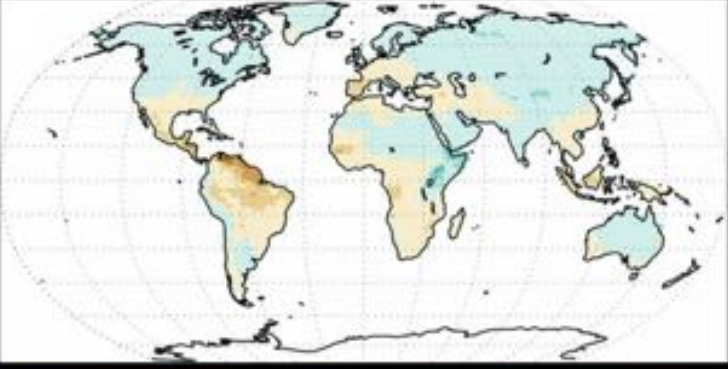
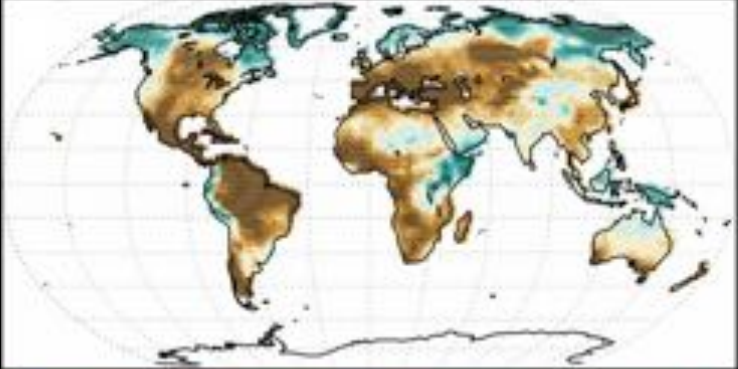
Radiation



Physiology

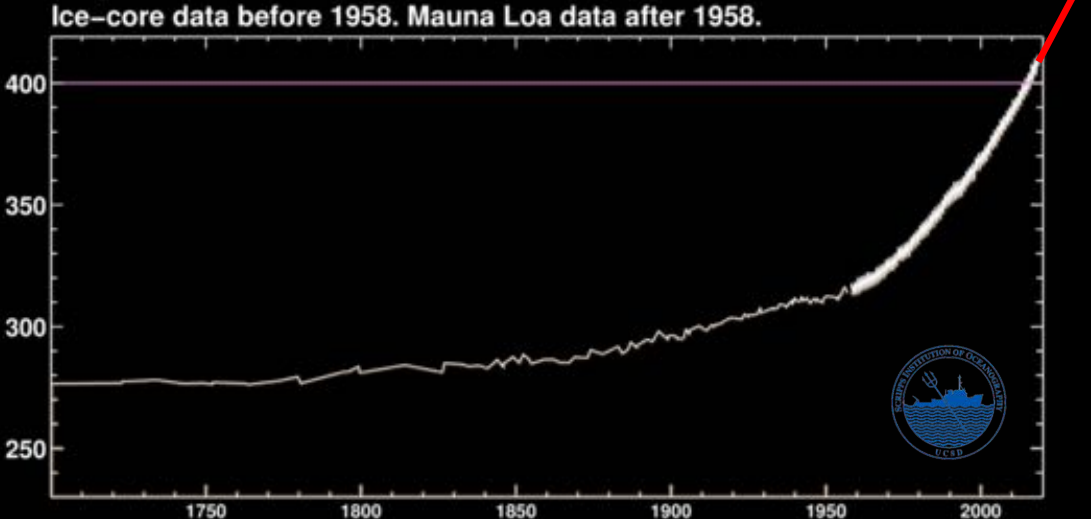


Full



# How do plant physiological responses to rising CO<sub>2</sub> contribute to long-term changes in the hydrological cycle?

## CO<sub>2</sub> Concentration (ppm)



**Precipitation**



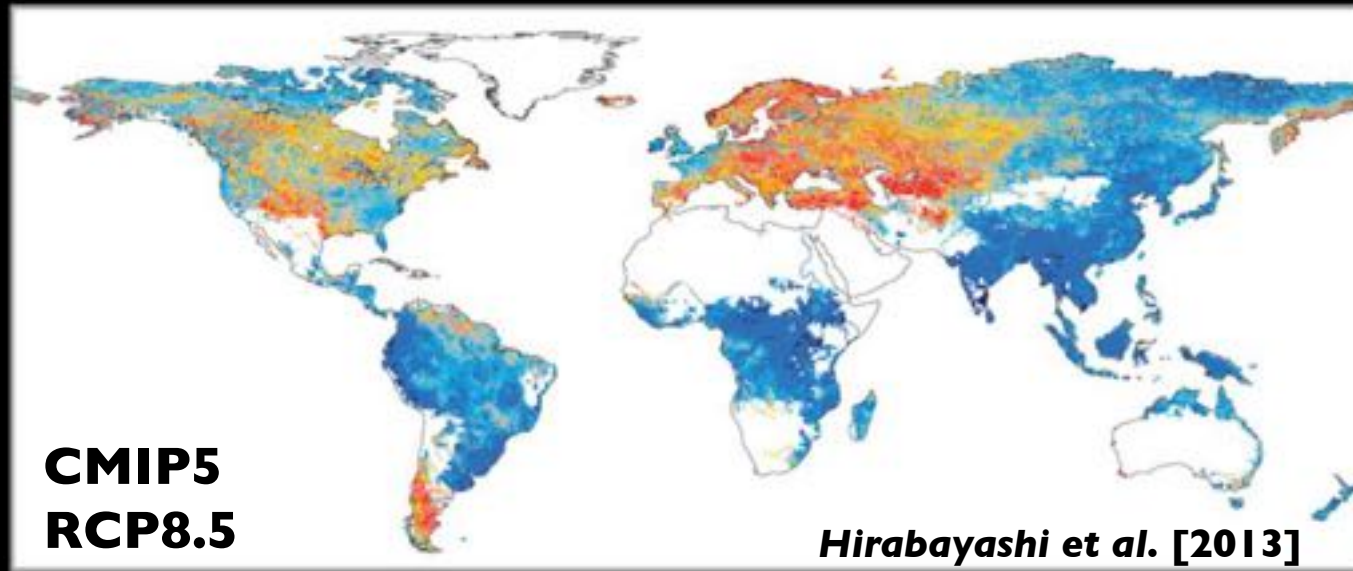
**Drought**



**Flooding**

# Global-scale river flooding exposure is expected to significantly increase due both climate change and population growth

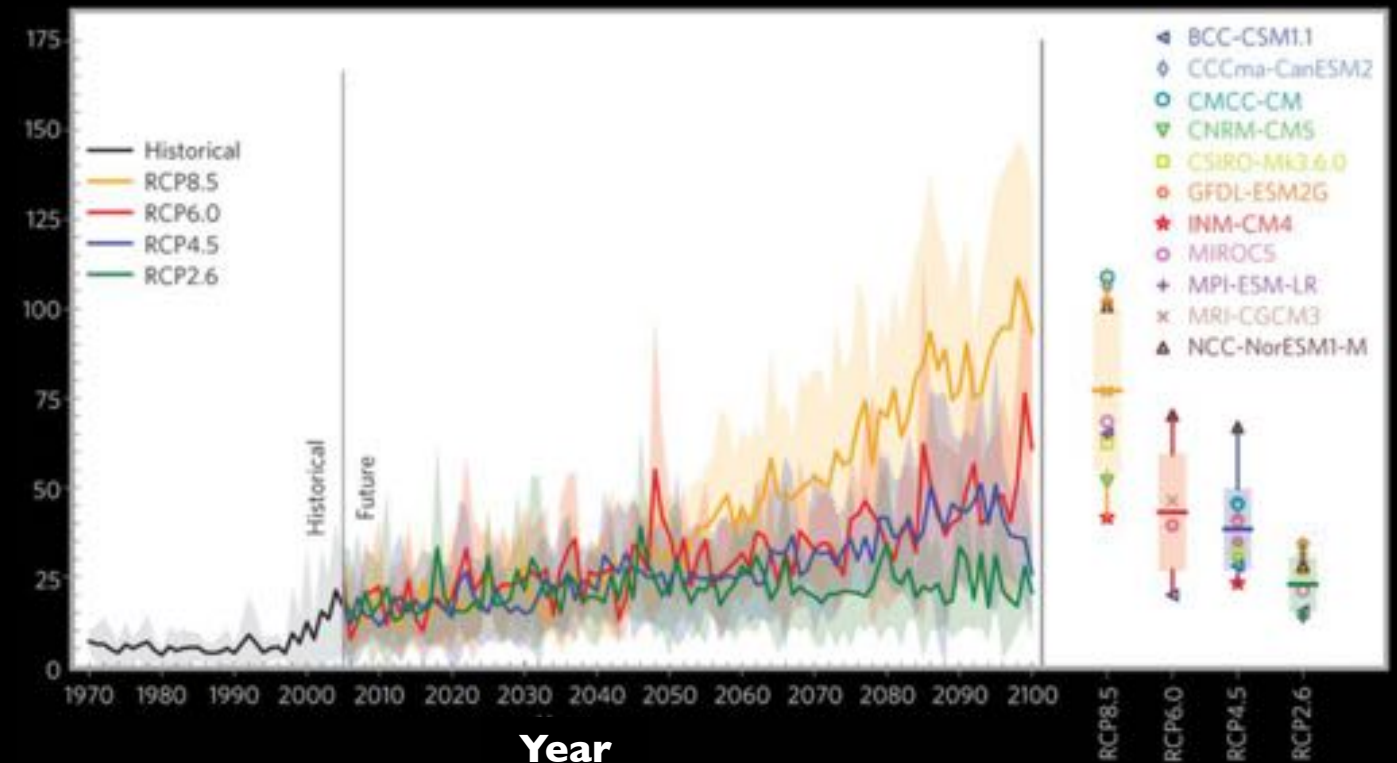
## 100-Year Flood Return Period Change



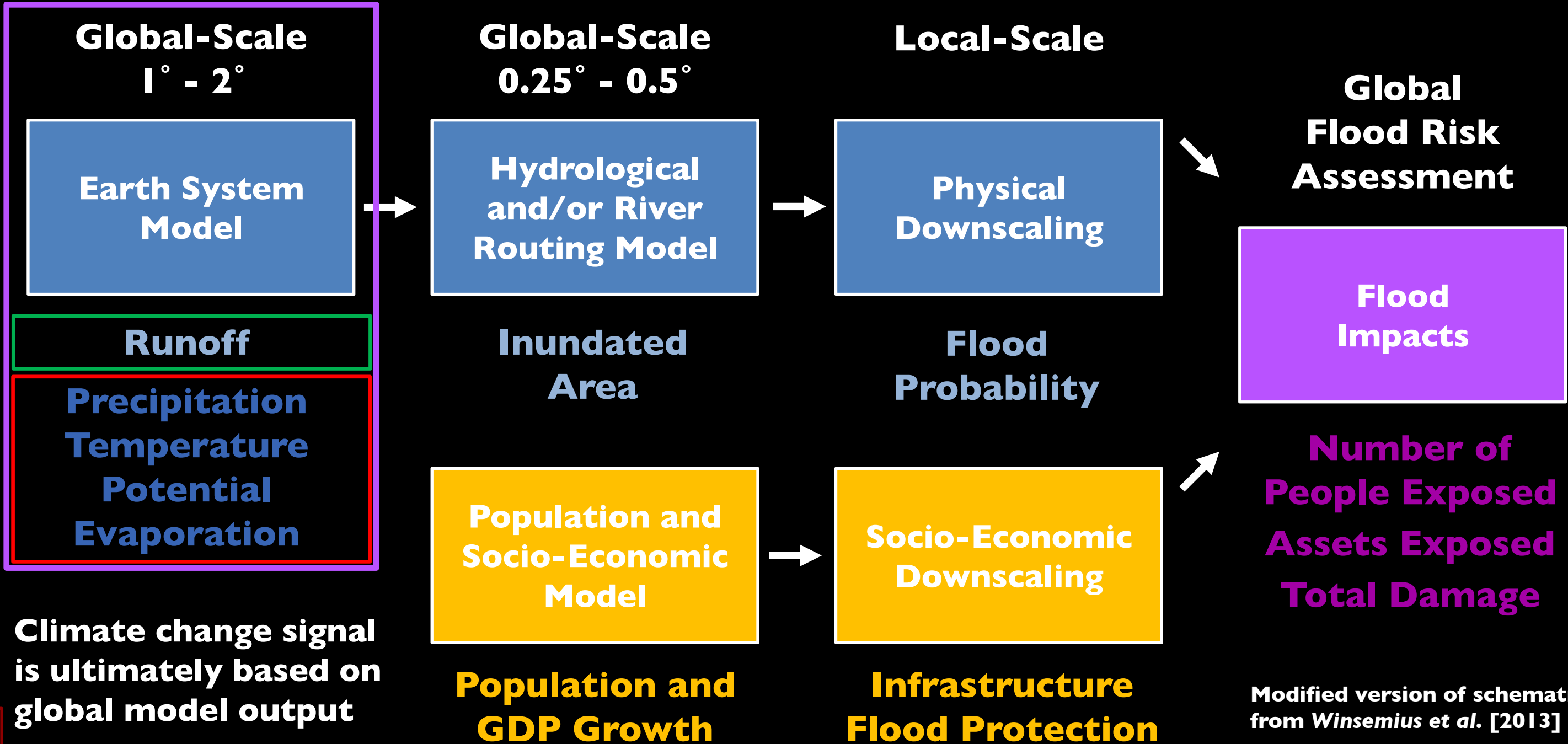
- Many recent global-scale flood assessments based on Earth system model projections
- Downscaling global runoff with CaMa-Flood model indicates a significant increase in river flood frequency and intensity

## Millions of People Exposed

- With no population growth, up to 77 million more people could be at risk
- The number of people and property at risk is significantly higher when population growth is included



# Global assessments based on Earth system model projections requires downscaling of either precipitation or runoff

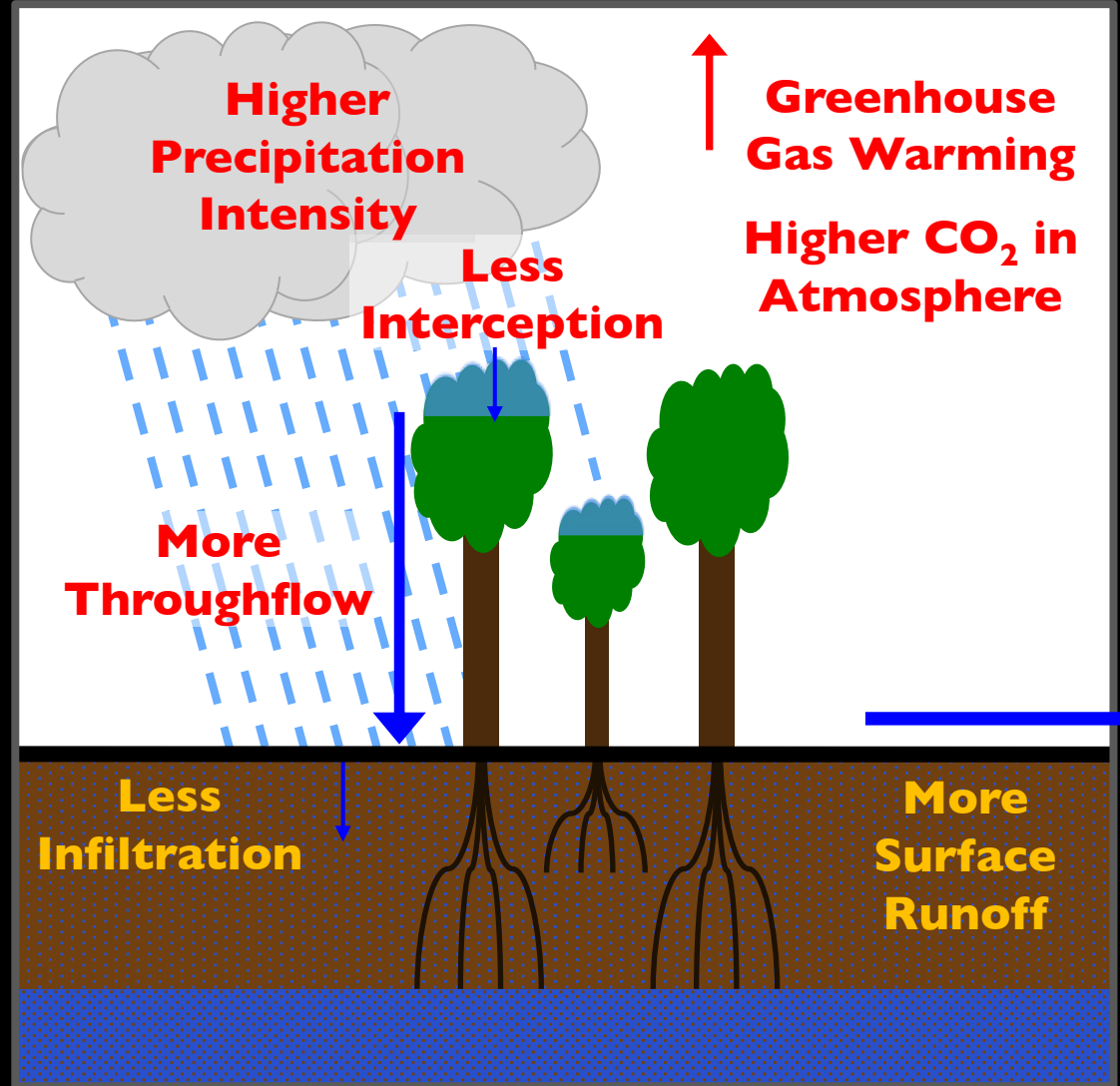


Modified version of schematic from Winsemius et al. [2013]

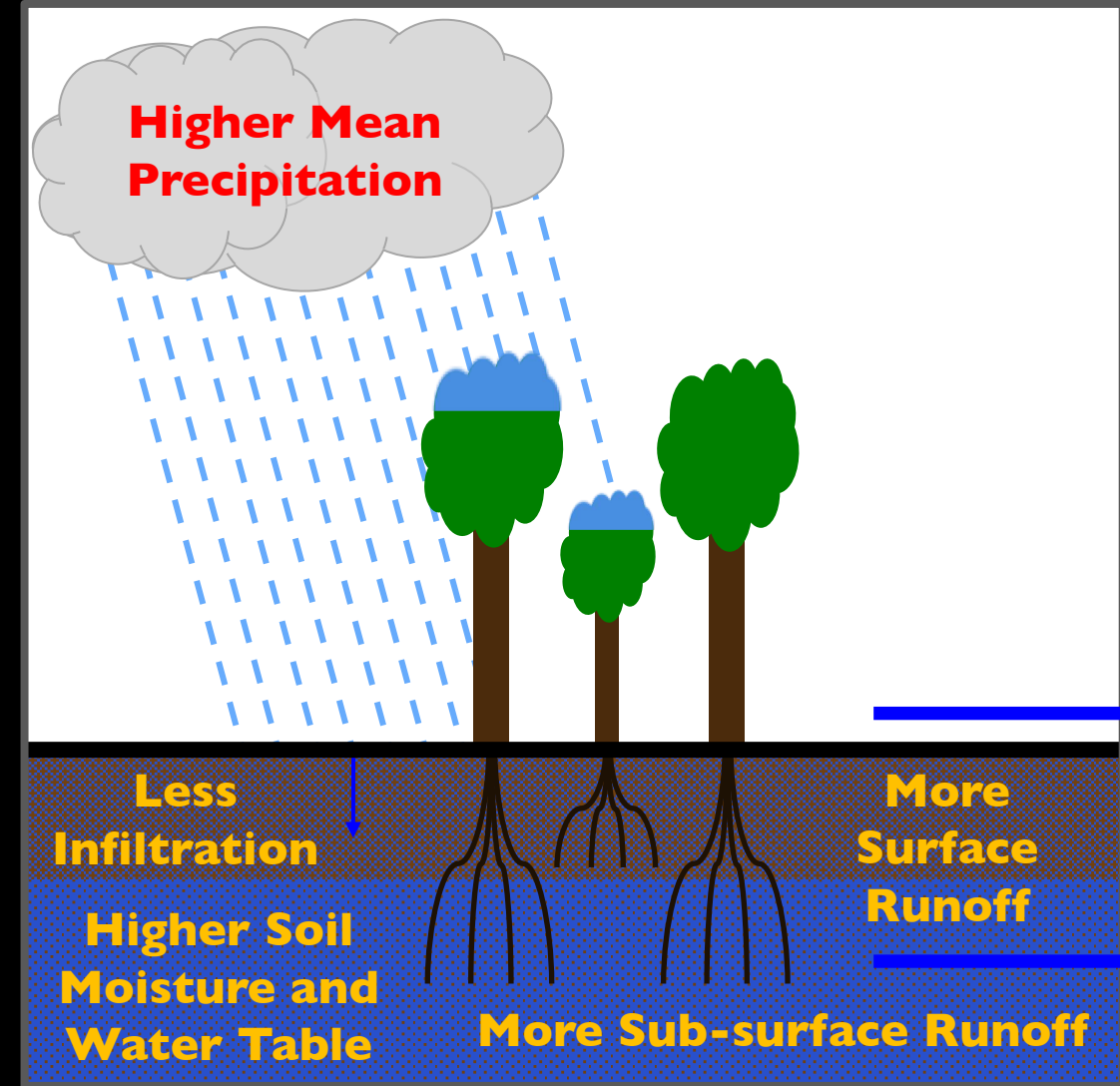


# Both methods begin with global modeling results, but changes in runoff are driven by additional land-surface processes

## Precipitation Intensity Change



## Soil Moisture Change



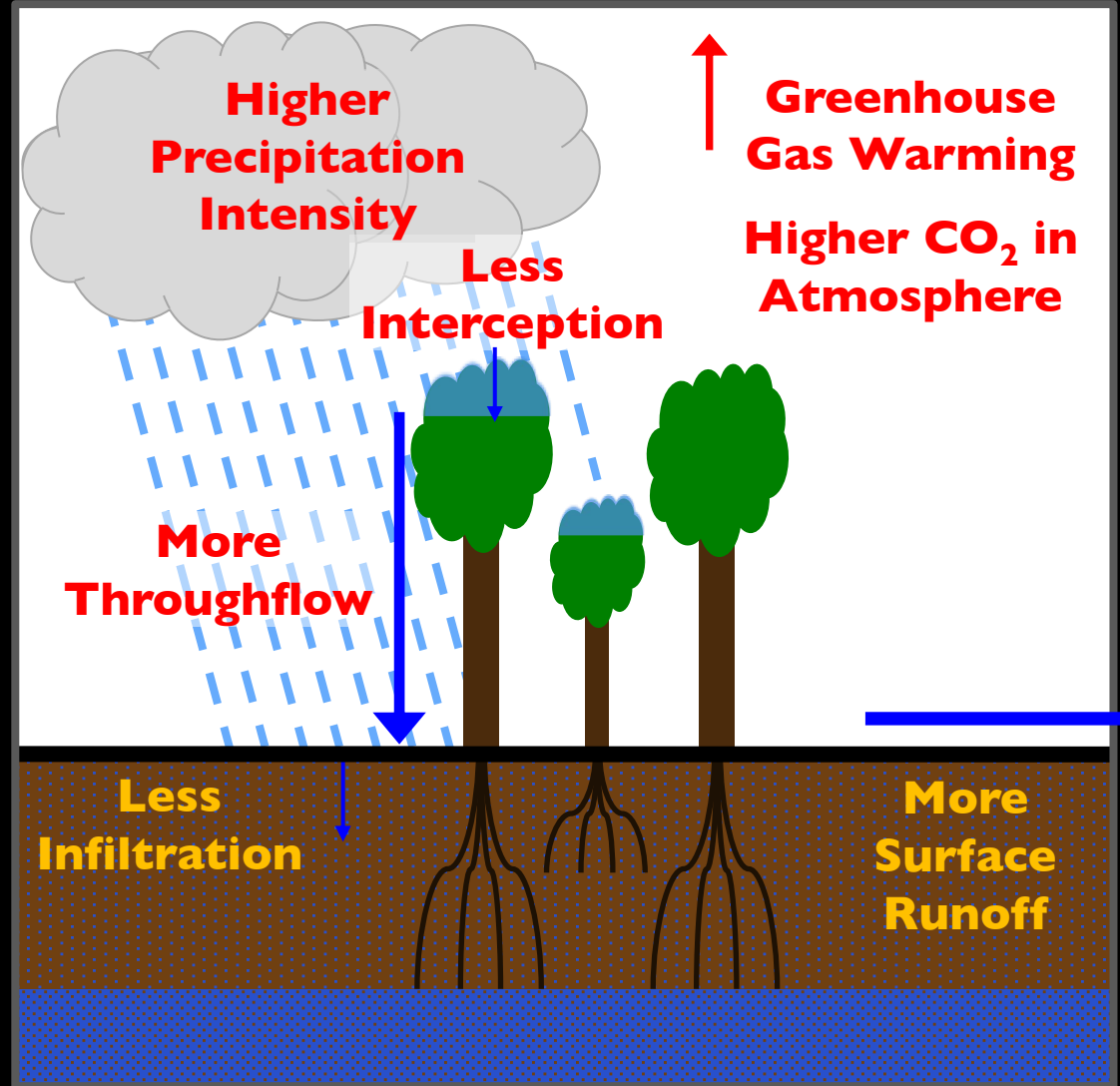
- Precipitation intensity increase due to enhanced uplift of low-level moisture

- Regional mean precipitation increase in some locations (“wet-get-wetter”)

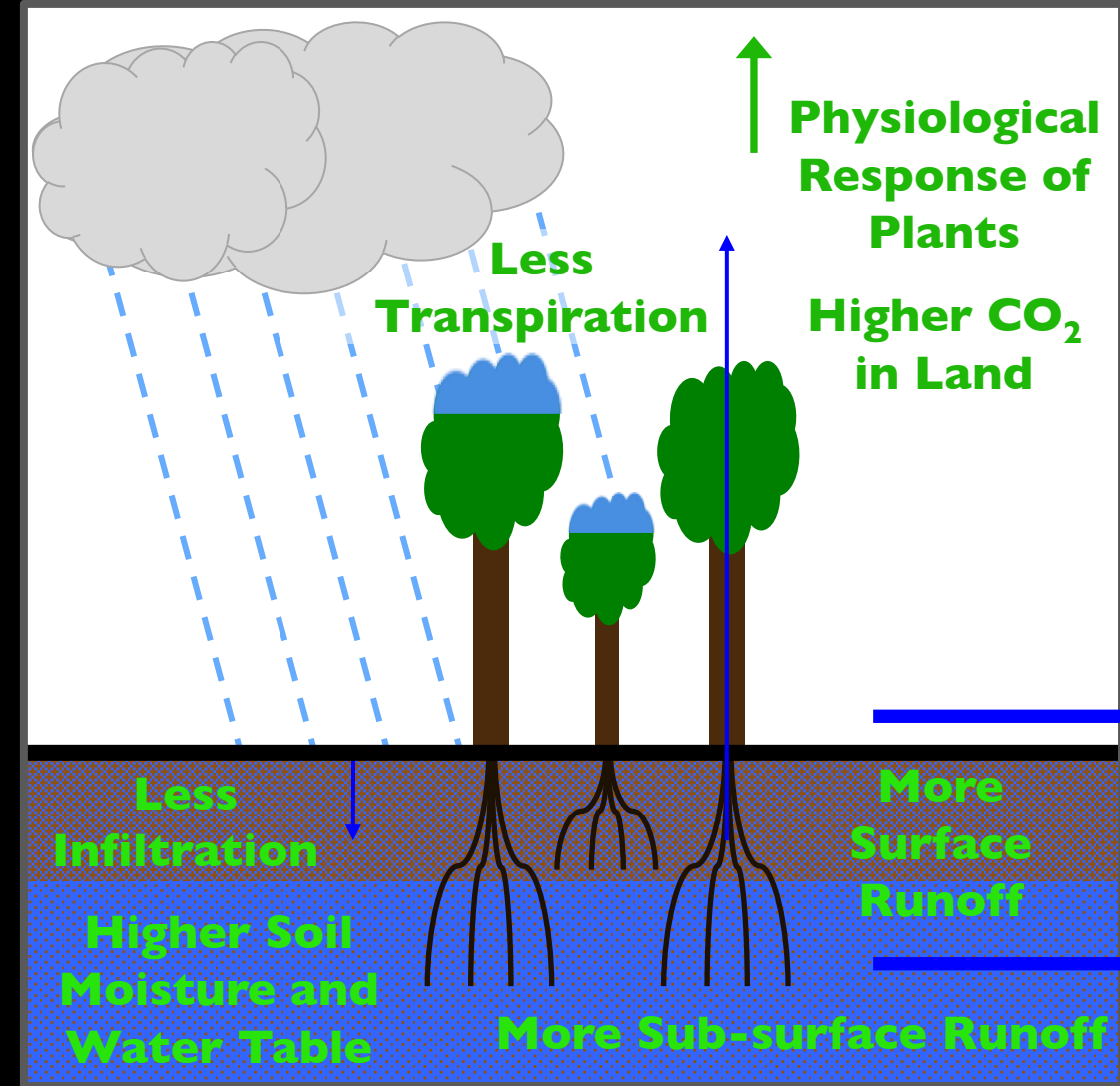


# Both methods begin with global modeling results, but changes in runoff are driven by additional land-surface processes

## Precipitation Intensity Change



## Soil Moisture Change



- Precipitation intensity increase due to enhanced uplift of low-level moisture

- Reduced stomatal conductance lowers transpiration and increases soil moisture



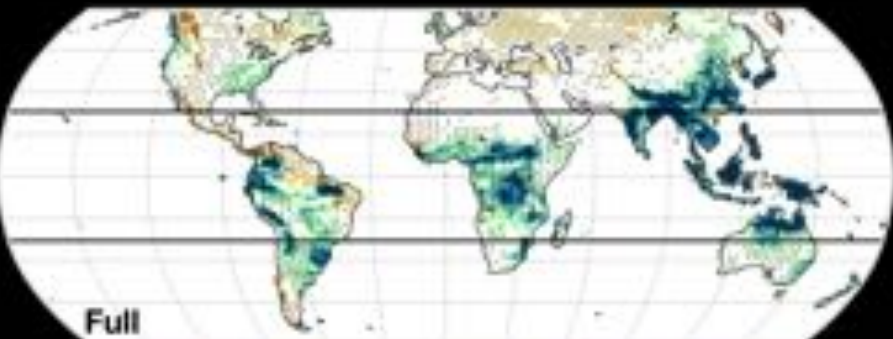
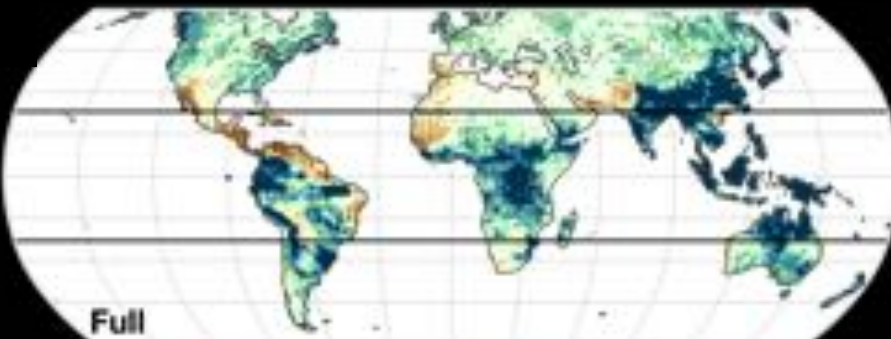


# Rainfall intensification is primarily driven by radiative effects, but runoff intensity changes are also influenced by physiology

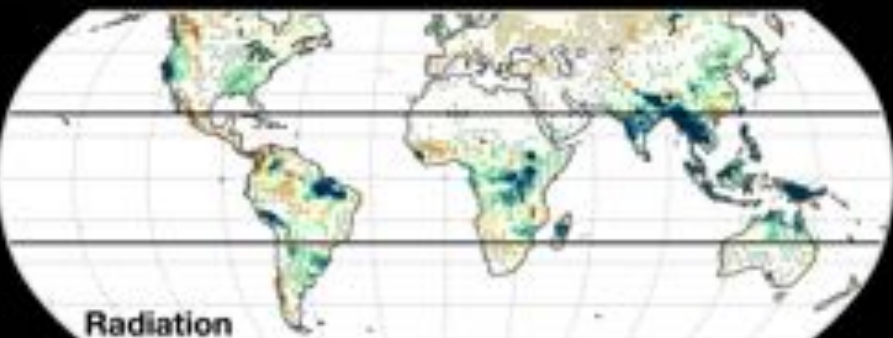
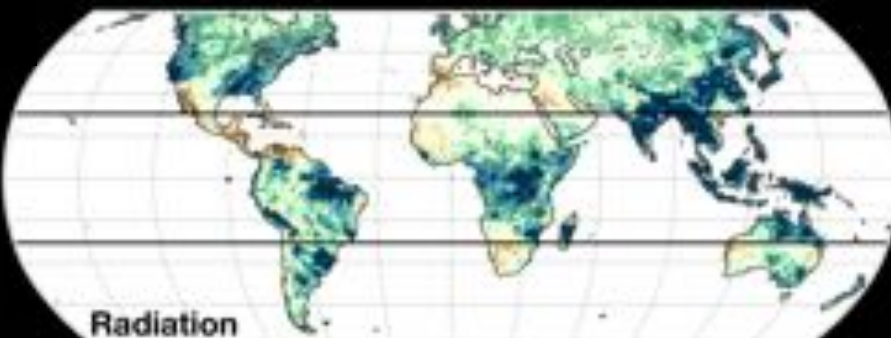
99<sup>th</sup> Percentile Precipitation

99<sup>th</sup> Percentile Runoff

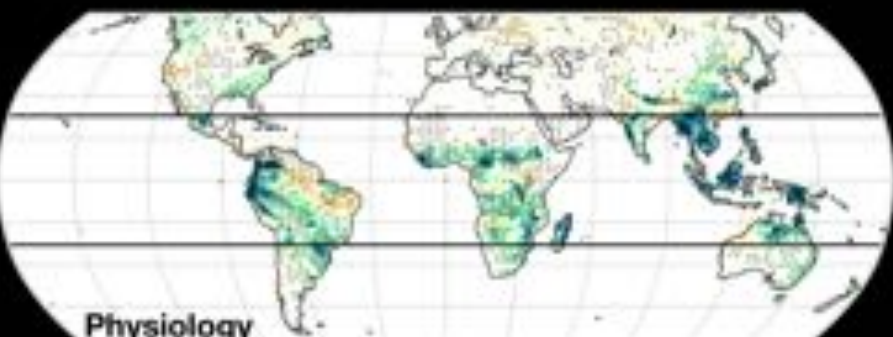
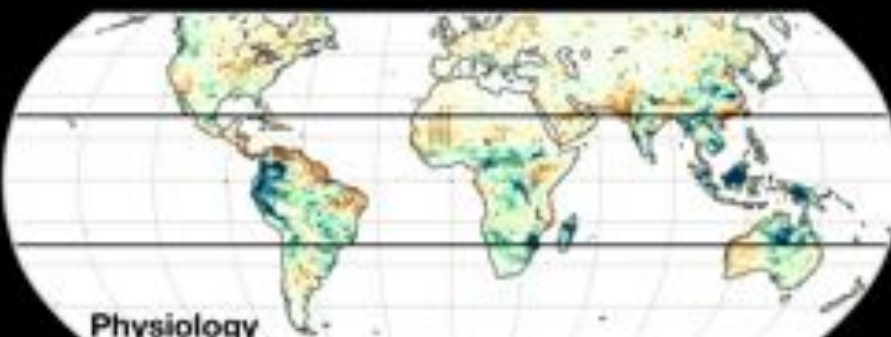
Full



Radiation

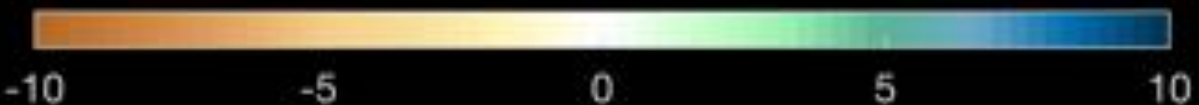


Physiology



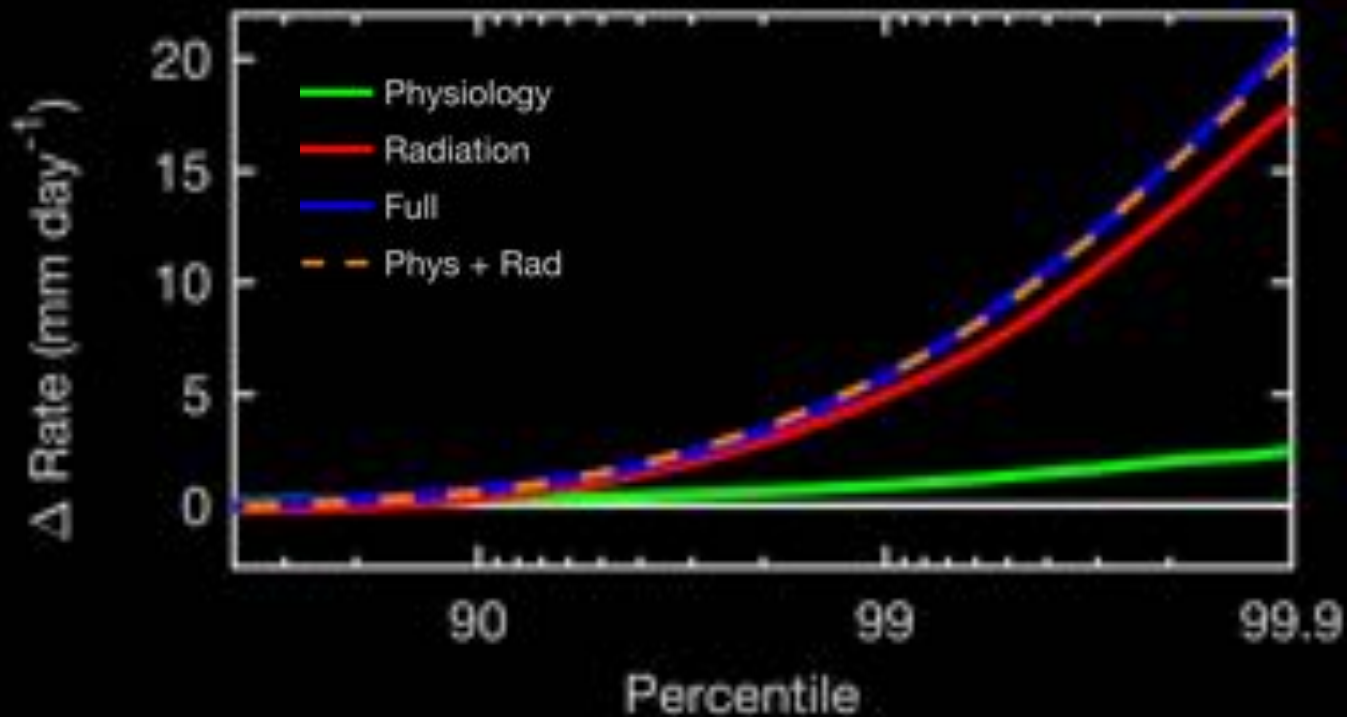
- Daily rain intensity increases across the tropics in response to increasing CO<sub>2</sub>
- Daily runoff also increases intensity significantly due to increasing CO<sub>2</sub>
- Rainfall intensity is primarily driven by radiative effects
- Runoff change has contributions from both radiation and physiology effects

mm day<sup>-1</sup>



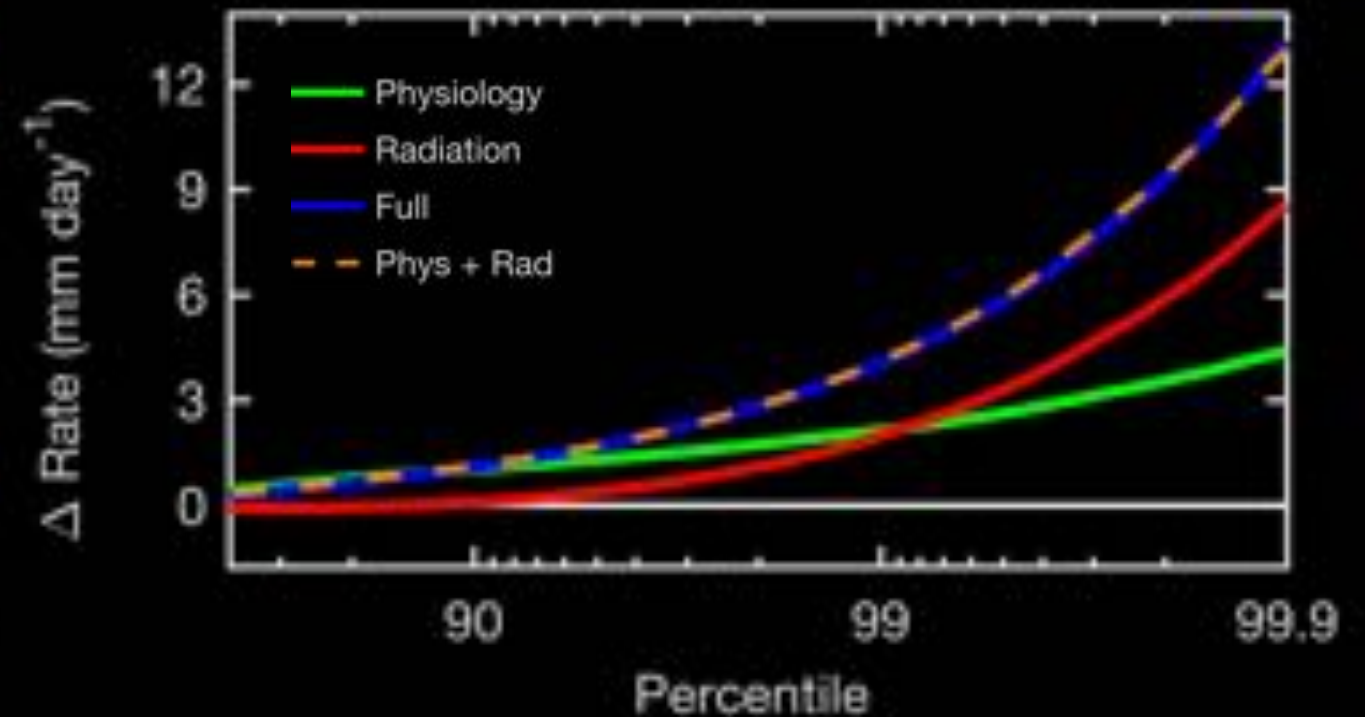
# The plant-physiological response to raising CO<sub>2</sub> contributes as much as radiative effects to 99<sup>th</sup> percentile runoff changes

## Precipitation Percentile Change



- **Daily precipitation rate intensity increases across all percentile rates**
- **Precipitation is primarily driven by radiative effects for all percentiles**
- **Physiology has a small contribution**

## Runoff Percentile Change



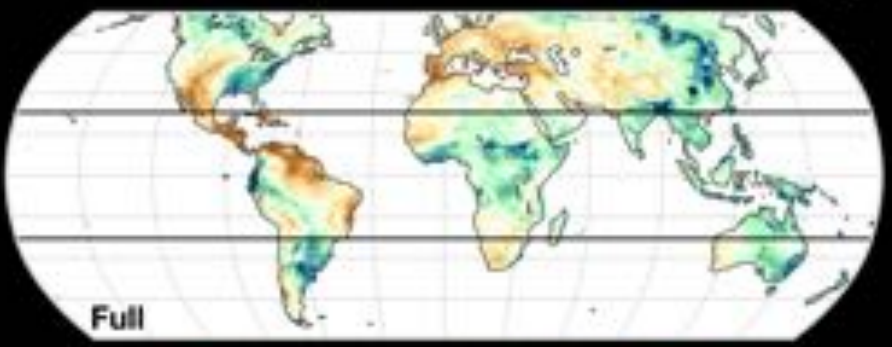
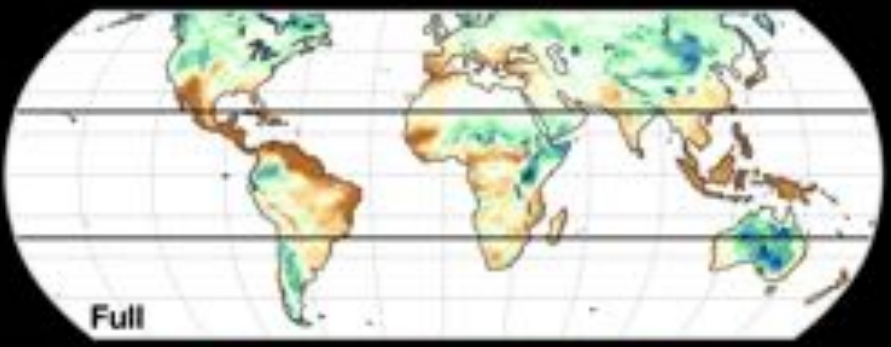
- **Daily runoff intensity changes are largest from physiology up to 99<sup>th</sup> percentile**
- **Physiology adds 1/3 to 99.9<sup>th</sup> percentile**
- **Full simulation is a linear combination of physiology and radiation simulations**

# Plant-physiological impacts of raising CO<sub>2</sub> are lower stomatal conductance and evaporation, and higher soil moisture

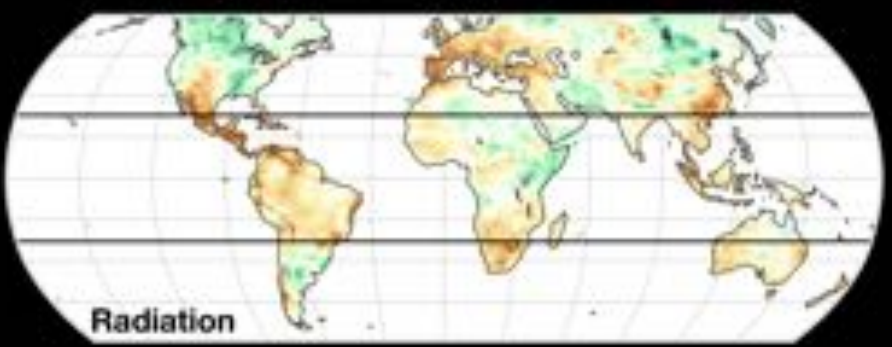
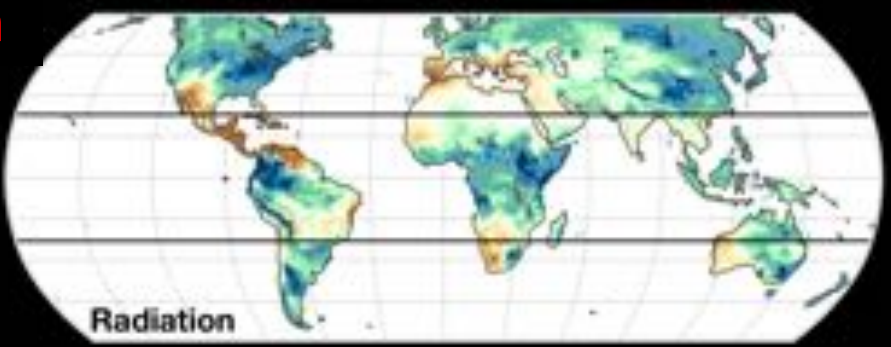
Mean Evapotranspiration

Top 10cm Soil Moisture

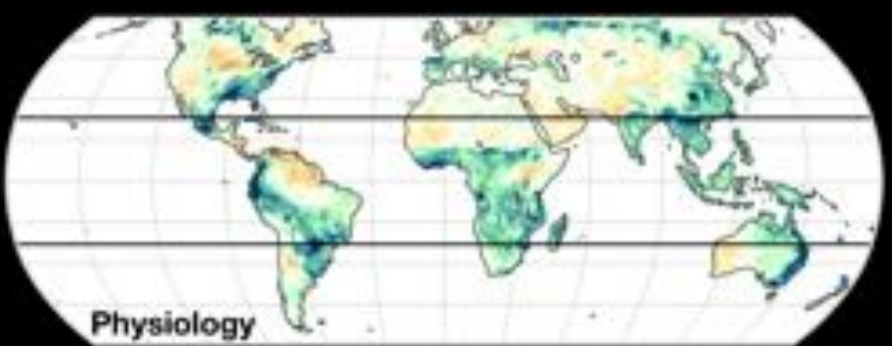
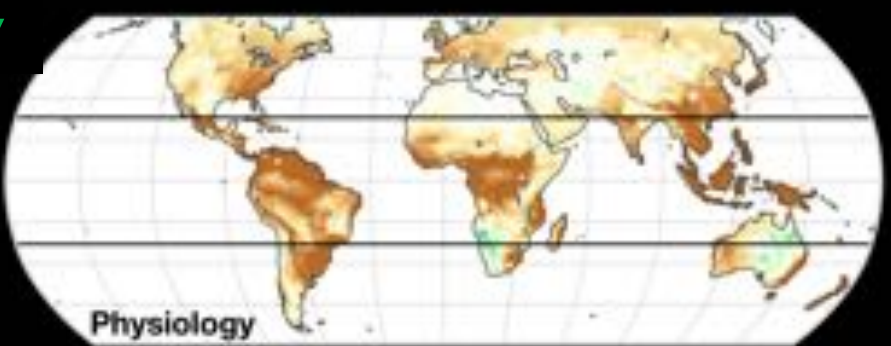
Full



Radiation



Physiology



- Plant-physiology reduces stomatal conductance and transpiration
- Radiation effects raise evaporative demand and total evaporation
- Physiology effect is larger in tropics while radiation effect is larger for high-latitudes
- Physiology effect results in higher soil moisture over most tropical land

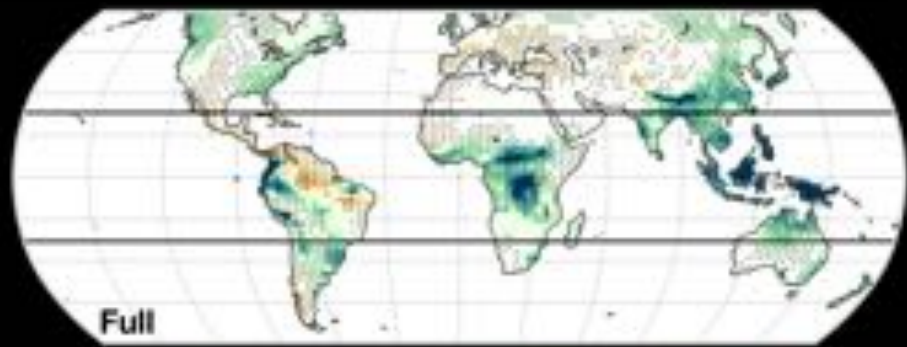
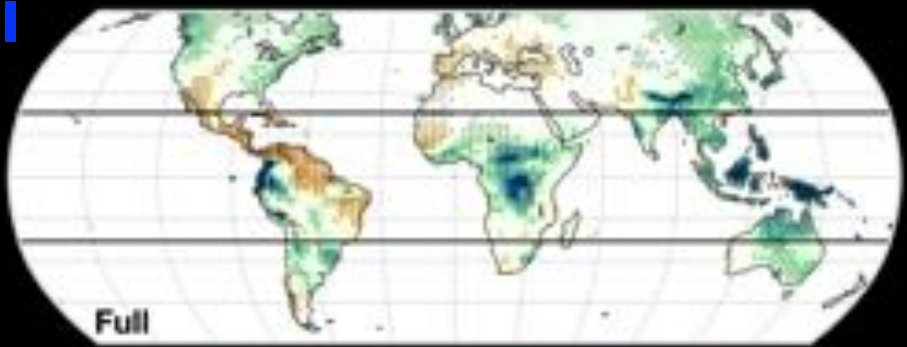


# Plant-physiological and radiative effects both contribute to mean rainfall changes, but runoff is dominated by physiology

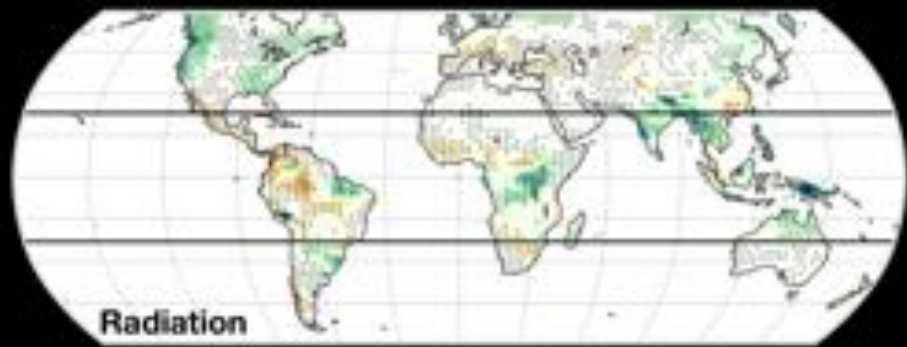
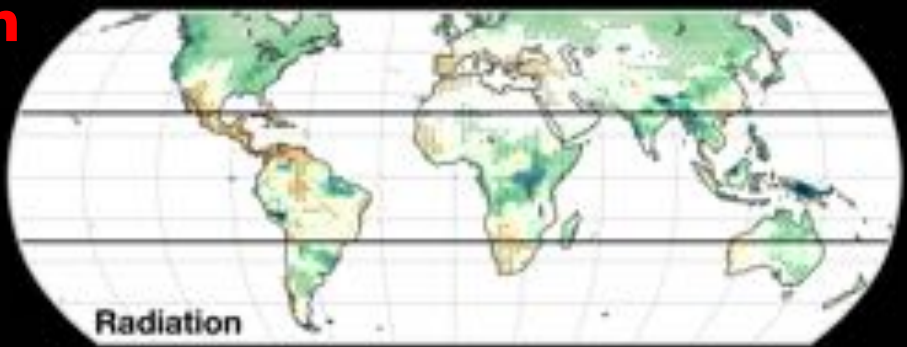
### Mean Precipitation Change

### Mean Runoff Change

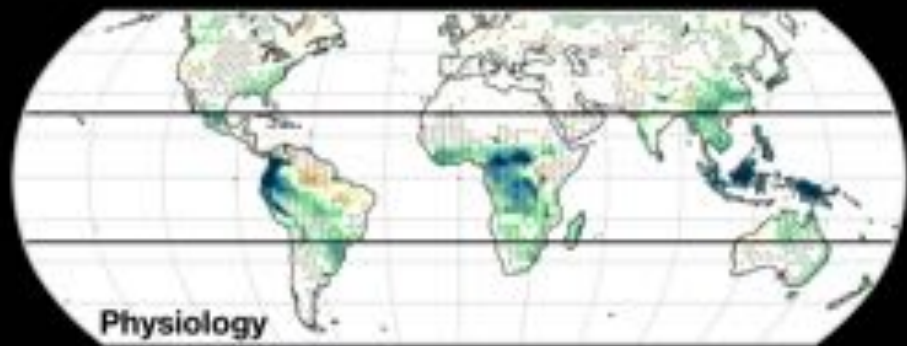
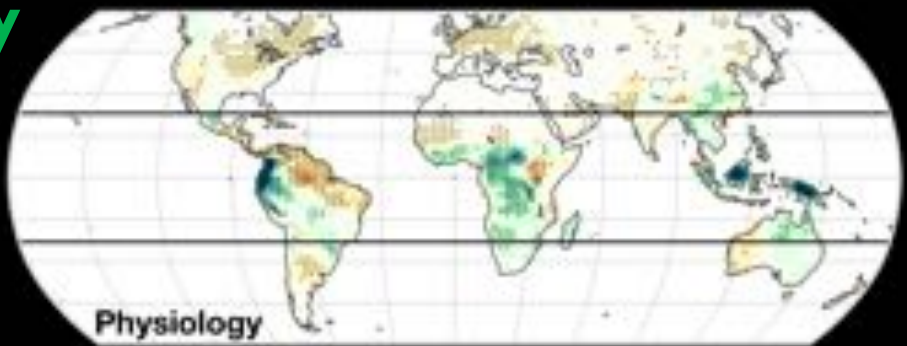
Full



Radiation



Physiology

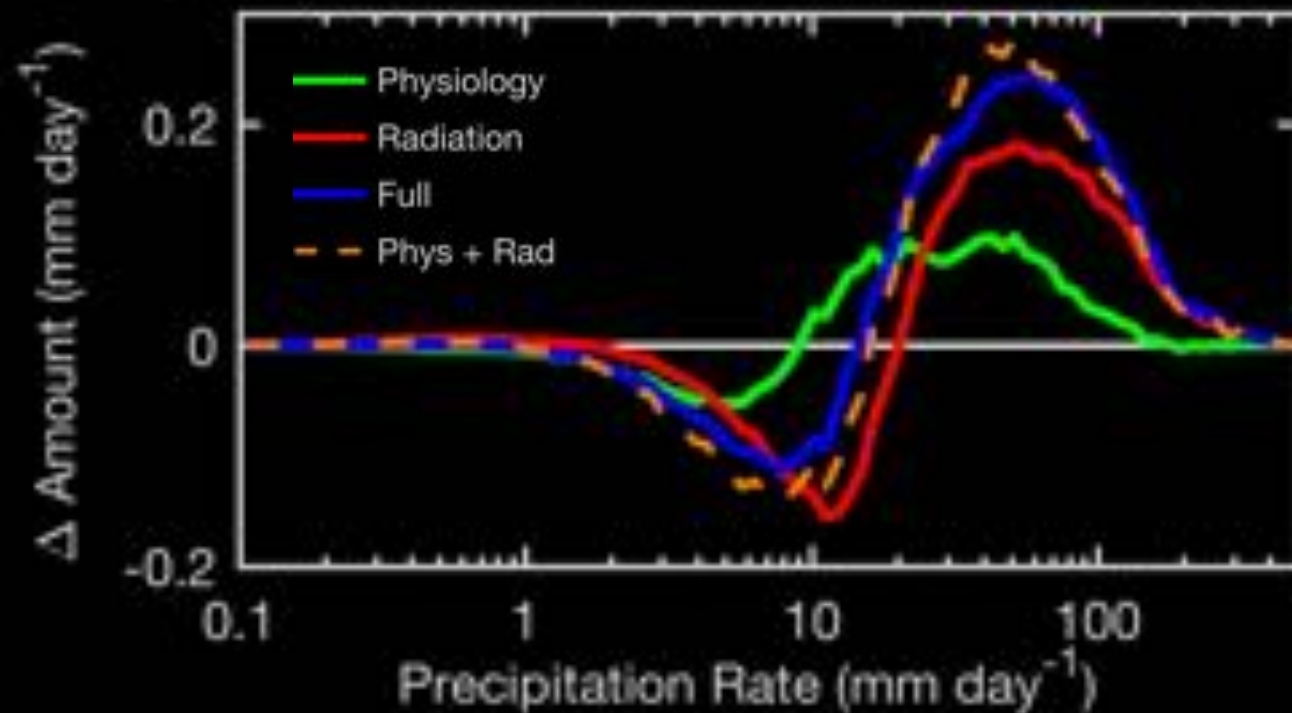


- Transpiration and soil moisture also feedback on mean precipitation
- Mean precipitation changes are from both radiation and physiology effects in the tropics
- Significant mean runoff increases across the tropics
- Runoff increases primarily due to physiology effects

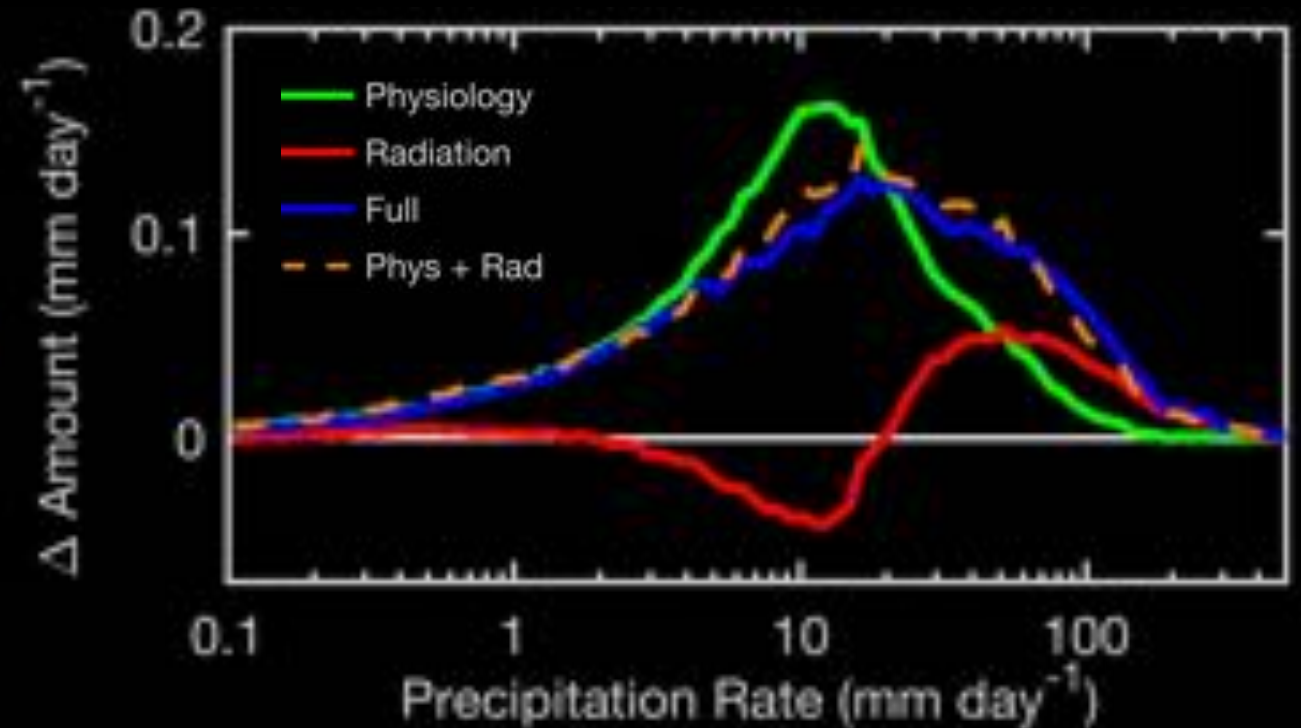


# Daily runoff amount increases for all precipitation rates when physiological responses to rising CO<sub>2</sub> are included

## Precipitation Amount Distribution



## Runoff Conditional Amount Distribution

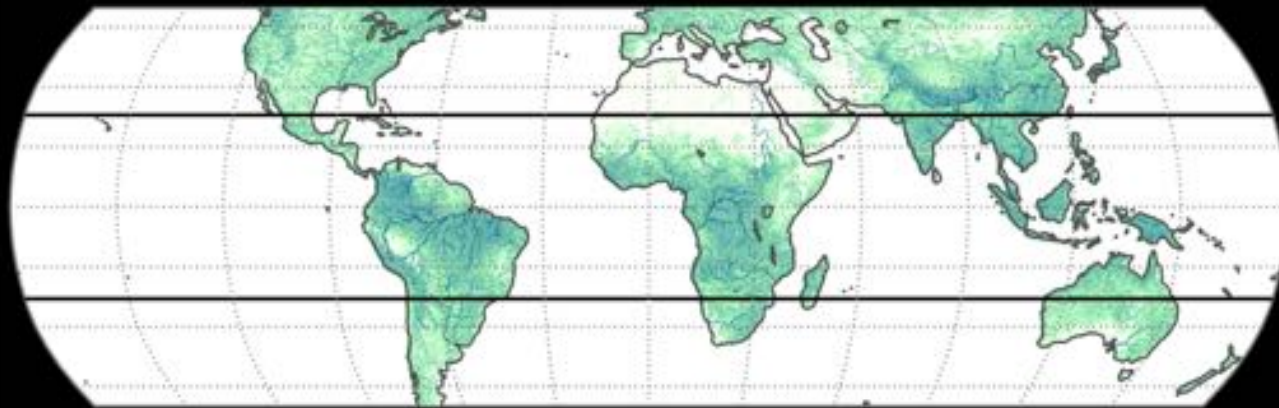


- Accumulated precipitation amount from the highest rates increases while amount from lowest rates decreases in all cases
- Radiative effects have the largest impact on intensity with a strong rightward shift

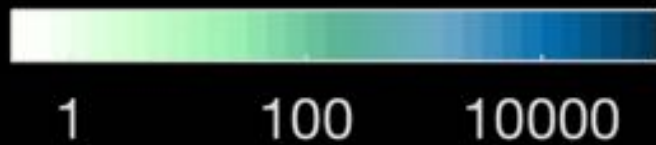
- Radiative effects on runoff have a similar right shift for runoff intensity changes
- But the influence of physiology increases runoff from all precipitation rates (same rain rates produce more extreme runoff)

# Downscaling pre-industrial CESM runoff with the CaMa-Flood model captures streamflow through major river systems

## 99<sup>th</sup> Percentile Pre-Industrial Discharge



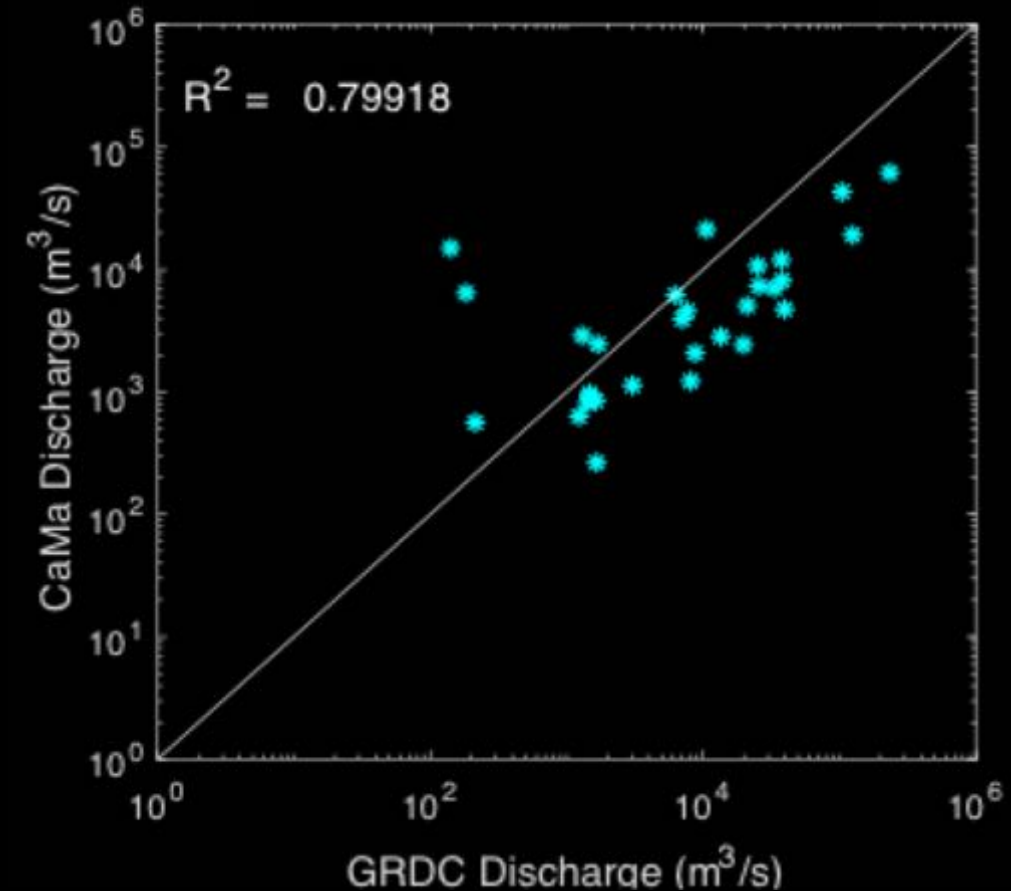
$\text{m}^3 \text{s}^{-1}$



Fowler *et al.*,  
in prep 2018

- **The Catchment-based Macroscale Floodplain Model is used to downscale CESM runoff for global flood statistics**
- **The model represents continental-scale river flow and floodplain dynamics at  $\frac{1}{4}^\circ$  (e.g., river discharge and inundation) in major river basins of the world**

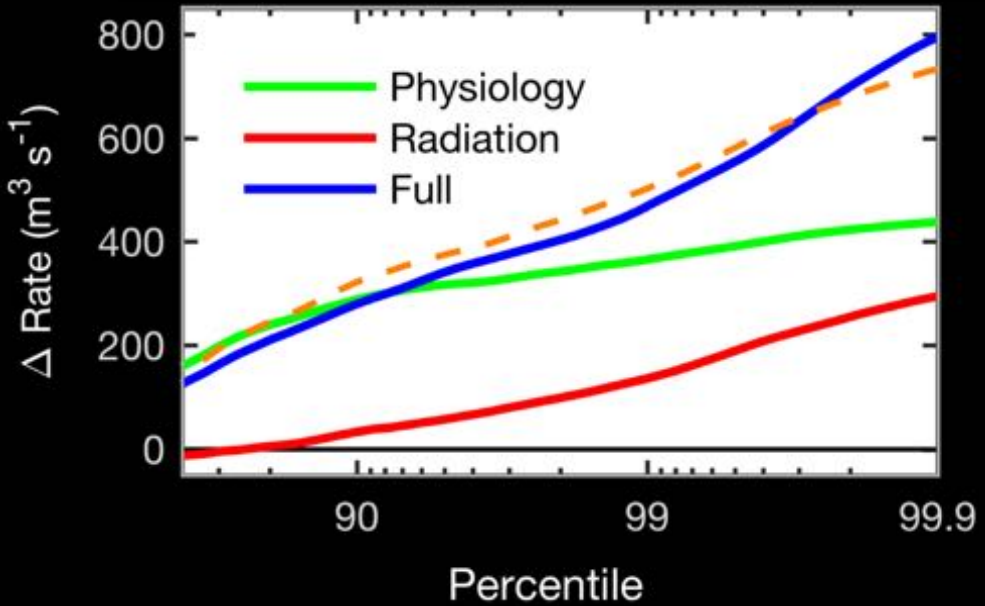
## Annual Max Discharge



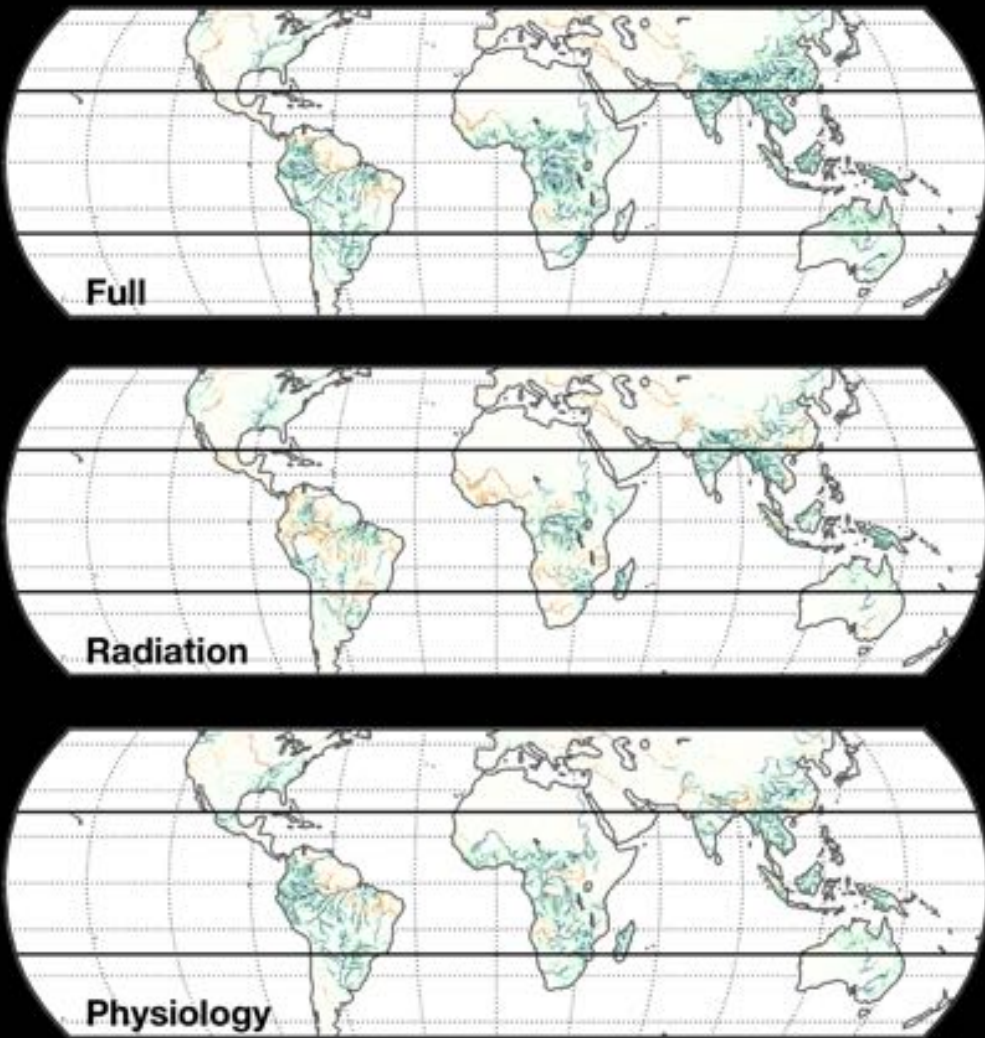
- **The CaMa-Flood Model captures the order of magnitude of river discharge reasonably well under pre-industrial conditions relative to Global Runoff Data Centre station data**

# Changes in downscaled river discharge recreate the patterns of runoff change and contributions from physiological processes

### Discharge Percentiles



### 99<sup>th</sup> Percentile Discharge



- When runoff is aggregated downstream, physiological effects are the dominant component of discharge changes across the tropics

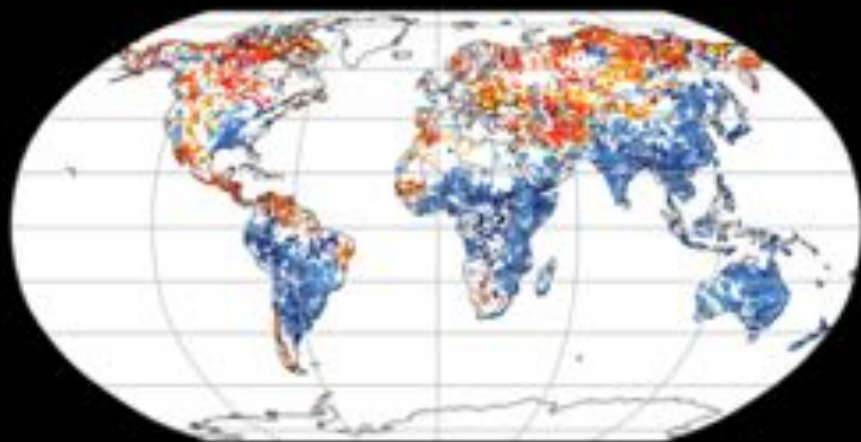
- The increases in 99<sup>th</sup> percentile river discharge across tropical land reflect the runoff pattern
- Regional impacts from physiology and radiation are also similar
- This implies the climate change signal in studies that downscale runoff offline is mostly due to the ESM results



# Idealized CO<sub>2</sub>-only forcing captures RCP8.5 multi-model mean pattern of change from, with significant increases in the topics

## 100-Year Flood Return Period Change

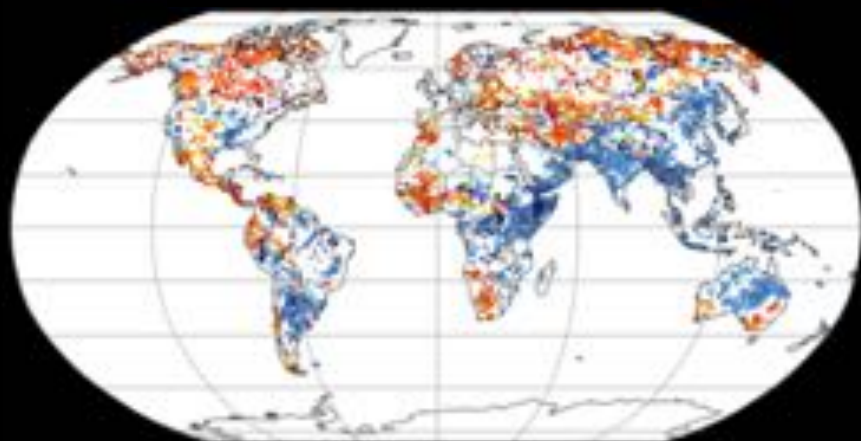
Full



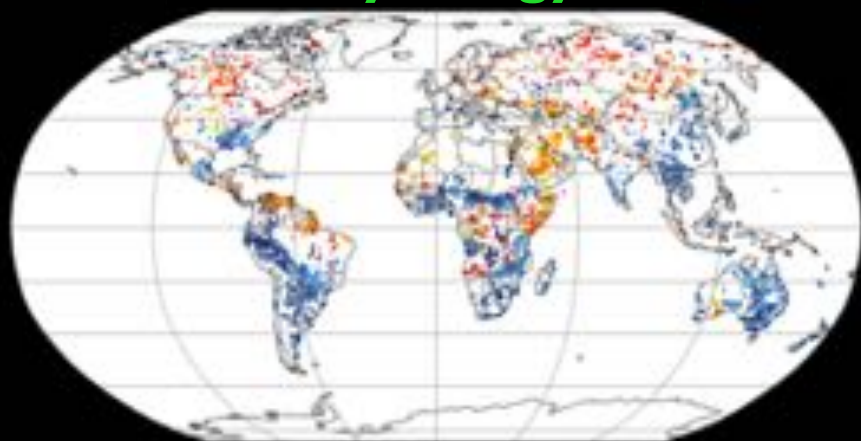
CMIP5 RCP8.5 Multi-Model



Radiation



Physiology



Return Period (years)

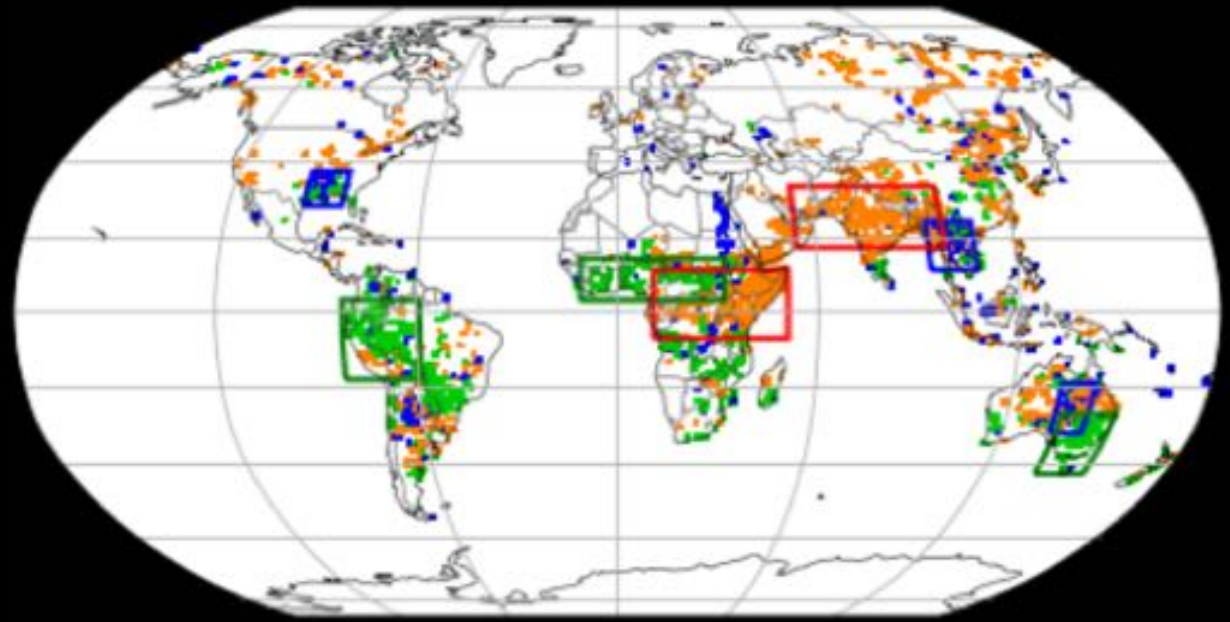
- Downscaling CESM runoff from the Full simulation captures the same flood return pattern as the CMIP5 Multi-Model Mean
- 1000-member bootstrap test shows a statistical significant flood increase across parts of the topics at 95% confidence level
- Comparing Full, Radiation, and Physiology simulations, identifies which mechanism has the dominant control on future flood frequency in different regions





# Regions where runoff changes are driven by both radiation and physiology are likely to have the most flood related impacts

## Dominant Component of Full Change



**Physiology & Radiation**      **Physiology**      **Radiation**

- **Radiation:** large rainfall changes
- **Physiology:** large soil moisture changes
- **Both:** large rain and soil moisture changes

## Regional Average Percent Changes

	PHYS - CTRL		RAD - CTRL		FULL - CTRL	
	India	Horn of Africa	India	Horn of Africa	India	Horn of Africa
<b>Rain</b>	1.9	-5.9	19.0	25.9	21.0	24.0
<b>Soil Moist.</b>	1.5	0.1	1.7	6.0	2.5	8.0
<b>Runoff</b>	21.7	29.8	34.7	46.0	56.5	79.2

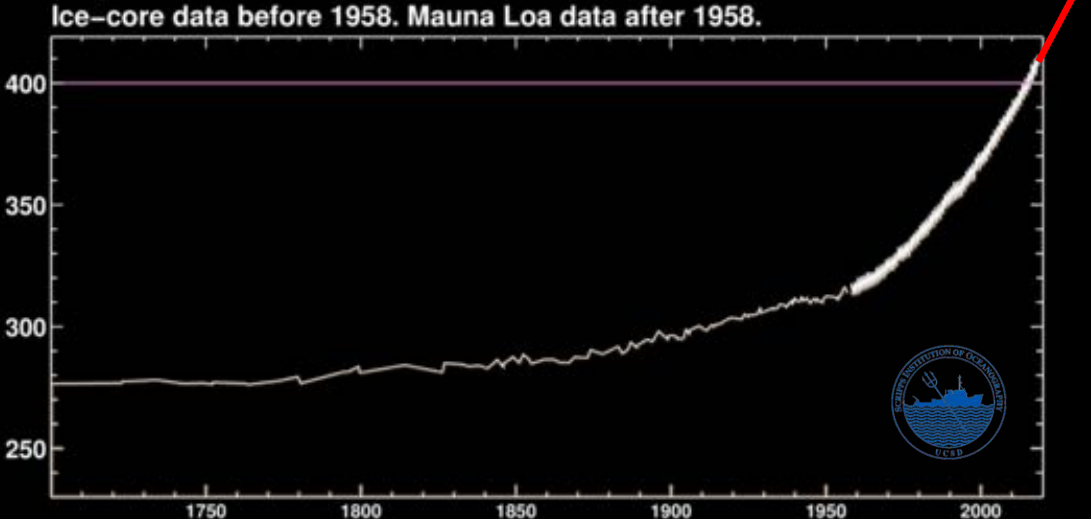
	PHYS - CTRL			RAD - CTRL			FULL - CTRL		
	West Amazon	Sahel	SE Aust.	West Amazon	Sahel	SE Aust.	West Amazon	Sahel	SE Aust.
<b>Rain</b>	12.3	12.5	5.5	-1.2	-1.2	12.0	16.5	21.1	12.3
<b>Soil Moist.</b>	10.1	9.2	12.4	-4.6	-4.7	-2.8	7.1	9.2	6.2
<b>Runoff</b>	27.5	19.7	57.2	-2.8	9.5	2.1	49.1	34.4	64.3

	PHYS - CTRL		RAD - CTRL		FULL - CTRL	
	SE U.S.	SE Asia	SE U.S.	SE Asia	SE U.S.	SE Asia
<b>Rain</b>	-2.6	7.8	18.8	25.2	10.7	26.6
<b>Soil Moist.</b>	9.3	4.8	4.1	1.9	9.1	4.6
<b>Runoff</b>	104.9	75.9	92.8	79.7	132.7	107.5



# How do plant physiological responses to rising CO<sub>2</sub> contribute to long-term changes in the hydrological cycle?

## CO<sub>2</sub> Concentration (ppm)



**Precipitation**



**Drought**



**Flooding**



**Heat/Fire**

# Conclusions

## Rainfall and Drought

- **Nearly all CMIP5 models predict a strengthening zonal precipitation asymmetry across tropical forests**
- **Physiology is a primary driver of increases in rain over Asian forests and decreases over the Amazon**
- **CESM simulations demonstrate that regional dynamic responses mostly drive of this pattern of change**
- **Results suggest that the Amazon will be more prone to drought and fire risk than other tropical forests**
- **Asian forests will receive more rain, which may increase flooding events**

## Runoff and Flooding

- **Plant-physiology effects contribute as much as radiative effects to 99<sup>th</sup> percentile and one-third to 99.9<sup>th</sup> percentile runoff changes**
- **Plant-physiology influences runoff through both direct effects on soil moisture as well as feedbacks on mean precipitation changes**
- **Regions where an intensification of rainfall and plant-physiology both to contribute runoff changes are at most risk for future flooding**
- **Many of these regions are in lower income and highly populated areas, leading to disproportionate impacts**